

A unified shape model for sunspot number cycles

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Abstract

We proposed a model which can unify many of the shape models existing in the literature and show that the shape of the sunspot number cycle can be described as a product of a polynomial and a negative exponential function. The proposed model has certain free parameters, which need to be estimated from the observed sunspot number data. Since all the models reviewed in this paper are a product of a polynomial and a negative exponential along with a number of parameters, we have seen that all these models can be derived from a modified generalized Gamma probability density function by transforming certain parameters and fixing certain parameters. In this paper, we estimate the parameters of the model from the revised monthly averaged sunspot numbers available in the SIDC website. Finally, a preliminary level prediction has also been attempted to forecast the characteristics of sunspot number cycle 25.

Keywords: sunspot numbers; gamma distribution; free parameter; etc.

2010 AMS subject classification: 70F15; 97M10.§

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1. Introduction

Solar activity is the key factor which drives the space weather. Refined modelling and accurate prediction of the solar activity intensity has been an important activity of space faring agencies across the world due to the impact of solar activity on satellites as well as on weather (Haigh, 2007, Hathaway et.al., 2004). Solar flux causes the upper atmosphere density variation and in turn it affects directly the lifetime of the Earth-orbiting satellites especially in the low Earth orbit. Solar activity intensity has been measured as the number of dark spots, called sunspot numbers, appears in the visible solar disc through direct observation since 1749 onwards. Irrespective of the measurement interval (daily, monthly, and yearly), a definite pattern is existing in the sunspot number time series.

Accurate predictions of the intensity of solar activity are increasingly important as we become more reliant upon satellites in low-Earth orbits, which provide crucial contribution in communication, national defence and Earth mapping. Also, such satellites provide an abundance of scientific data. During higher solar activity period, the increased ultraviolet emission from Sun heats up the Earth's upper atmosphere and this causes the atmosphere to expand and results in the increased drag on low Earth orbits satellites, thereby leading to early decay into the Earth's atmosphere. Therefore, better predictions of solar activity are essential to help mission planning and design of satellites (Vallado et.al., 2014).

Sunspot number cycle time series is one of the longest time series which was studied by many experts. First of all, this time series is non stationary, cyclic and highly nonlinear in the time domain. The more interesting and difficult part to deal with is the high dispersion between consecutive observations (Withbroe,1989) which in fact makes the prediction of sunspot numbers tedious.

Many attempts to model and predict the future behaviour of the solar activity are well documented in the literature. Depending on the nature of the prediction methods, we can classify the methodology in to five classes as: 1) Curve fitting 2) Precursor 3) Spectral 4) Neural Networks and 5) Climatology. The first attempt using the curve fitting methodology was by the McNish-Lincoln curve fitting (Hathaway, D. H, 2015). Subsequently, several authors have studied the highly nonlinear behaviour of sunspot number cycle and proposed various models to handle the studies related to the prediction.

Many mathematical functions have been appeared in the literature as model of the shape of the sunspot number cycle. Due to the exponential rise and decay of sunspot number cycle, a model involving exponential function was proposed by Nordemann (1992). The bell shape and the asymmetry along the peak amplitude of most of the sunspot number cycle were explored and there by a suitable mathematical function was introduced by Hathaway et.al. (1994). Few

statistical probability distribution functions were also proposed by various authors (Sabarinath et.al.,2008, Du et.al., 2011, Li et.al., 2017, Sabarinath et.al., 2020) for modeling the shape of sunspot number cycles. De Meyer (1981) proposed a model using periodic functions. As far as prediction of a future sunspot number cycle is concerned, statistical averaged models are used as an initial estimate of the future sunspot number cycle.

2. Existing Models

Several authors developed different mathematical functions to describe the shape of the sunspot number cycle. In particular Stewart and Panofsky (SP) (1938) proposed a function for the shape of a sunspot number cycle with the form,

$$R(t) = a(t - t_0)^b e^{-c(t-t_0)} \quad (1)$$

where a, b, c and t_0 are parameters that vary from cycle to cycle and t is the independent variable represents time. The important thing to be noticed is that, this model resembles a power law for the rising phase of a sunspot number cycle and an exponential for the declining phases of a cycle.

Nordemann (N) (1992) proposed another fit. He used the solution of the differential equation $\frac{dN}{dt} = KN$, in analogy with the nuclear decay process. The declining phase (maximum to minimum) of a sunspot number cycle is represented by

$$N = N_0 e^{Kt}, \quad K < 0 \quad (2)$$

and the solution of $\frac{dN}{dt} = A + KN$, is used to represent the first phase or ascent phase (minimum to maximum) of a sunspot number cycle. Thus, the model for the ascent phase is:

$$N = \frac{A}{K} (1 - e^{Kt}), \quad K < 0 \quad (3)$$

where N represents sunspot numbers, K is the decay constant and A is a production parameter.

Hathaway et.al. (H) (1994) established a model with four parameters along with a measure for the goodness of fit. The functional form is:

$$f(t) = \frac{a(t - t_0)^3}{e^{\left[\frac{(t-t_0)^2}{b^2}\right]} - c} \quad (4)$$

where, a represents the amplitude; b represents the time in months and t_0 denotes the starting time; c gives the asymmetry of the sunspot number cycle. This function is derived from Stewart and Panofsky model, but requires two

parameters for each sunspot number cycle. Where $c = 0.71$, and b is a dependent parameter given by,

$$b = 27.12 + \frac{25.15}{[a \times 10^3]^{\frac{1}{4}}} \quad (5)$$

Sabarinath et.al. (S) (2008) used a binary mixture of a modified Laplace Distribution. Laplace Distribution is a function f of two parameters m and s is given by,

$$f(x) = \frac{1}{2s} e^{-\frac{|x-m|}{s}} \quad (6)$$

where, m is the location parameter and s is the scale parameter. They modified this form and used the binary mixture of this distribution and reducing the number of parameters into six (later reduced to two floating parameters) to fit the predominant double peaks during the high solar activity regime of a sunspot number cycle. Modified final model is:

$$f(t) = \frac{A_1}{33.2} \exp\left(\frac{-|t - t_0 - 41.7|}{16.6}\right) + \frac{A_2}{46} \exp\left(\frac{-|t - t_0 - 67.3|}{23}\right) \quad (7)$$

Volobuev (V) (2009) found a function similar to that used by Stewart and Panofsky to fit the shape of sunspot number cycle that requires only one parameter for each cycle. The empirical model used is:

$$R = \left(\frac{t - t_0}{T_s}\right)^2 e^{-\left(\frac{t-t_0}{T_d}\right)^2} \quad (8)$$

We can see that this model is also similar to that of Stewart and Panofsky (1938) by putting $b = 2$ and modifying the growth multiplier and decay multiplier properly by introducing the new parameters T_s and T_d .

Du (2011) suggested modified Gaussian function with four parameters viz. peak size A , peak timing t_m , width B , and asymmetry α , in the form:

$$R(t) = A \exp\left(\frac{-(t - t_m)^2}{2B^2[1 + \alpha(t - t_m)]^2}\right) \quad (9)$$

Li et al (L) (2017) used a binary mixture of Gaussian function (suggested by Du),

$$f(x) = A_1 \exp\left(-\frac{(x - m_1)^2}{s_1}\right) + A_2 \exp\left(-\frac{(x - m_2)^2}{s_2}\right) \quad (10)$$

this model has six parameters. Peak sizes are denoted by A_1, A_2 , s_1 and s_2 represents gradients and peak time is represented by m_1 and m_2 .

Sabarinath et. al. (SB) (2020) fit the full sunspot number cycle perfectly with modified Maxwell Boltzmann probability distribution function. The final modified model is:

$$f(x; \alpha; A) = \frac{A}{\alpha^3} \sqrt{\frac{2}{\pi}} x^2 e^{-\frac{x^2}{2\alpha^2}} \quad (11)$$

where A is the area parameter, $\alpha > 0$.

All these models discussed so far has a common form that is these models are a product of a polynomial and a negative exponential function. Since the solar activity like process can be modelled by a bell-shaped curve viz., Gamma family of probability density function, we propose that the sunspot number cycles can be effectively modelled as a generalized Gamma distribution with certain free parameters. These free parameters can be estimated in the maximum likelihood sense from the sunspot data. Also, all these models discussed so far can be derived from this generalized Gamma distribution model as special cases. In the next section we brief on the derivation of the proposed model.

3. Methodology

New model-Generalized Gamma distribution

The Gamma distribution is often used to describe variables bounded on one side. A version of this distribution is obtained by adding a third parameter and gets the generalized Gamma distribution (Walck, 2001).

Probability density function is,

$$f(x; p, q, r) = \frac{p^r}{\Gamma(q)} (px)^{qr-1} e^{-(px)^r} \quad (12)$$

where p (a scale parameter) and q are the real positive parameters and a third parameter r has been added ($r = 1$ for the ordinary Gamma distribution) to generalize the Gamma distribution. This new parameter takes any real value but normally we consider the case where $c > 0$

Put the following substitutions,

$$\left. \begin{aligned} c &= \frac{p^r}{\Gamma(q)} p^{qr-1} \\ \alpha &= qr \\ K &= p^r \\ \delta &= r \end{aligned} \right\} \quad (13)$$

Then, Equation (12) becomes

$$f(x; c, k, \alpha, \delta) = cx^{\alpha-1} e^{-kx^\delta} \quad (14)$$

where k is the scale parameter, α is the shape parameter and δ is the location parameter. Depending on the values of the parameters we can arrive all the

existing models. Table1 list the existing models and their derivation into Equation (14).

Once we fix a model, the next step is to evaluate the best estimates of the model parameters in statistical parameter estimation sense. Here our measurement data is the monthly averaged sunspot numbers. The model we indent to fit over this data is given in Equation (14). We estimate the parameters by least square method. Using simple random search technique, we estimate the parameters. The mathematical algorithms used are given in detail in the next part.

Data

In the present study, we use the monthly averaged sunspot numbers, and these sunspot numbers for all the 24 sunspot number cycles were used. On July 1st, 2015, the sunspot number series has been replaced by a new improved version called version 2.0 data, that includes several corrections of past in homogeneities in the sunspot number time series.

In the new version 2.0 data, the conventional 0.6 Zürich scale factor, has been replaced by a factor of 1/0.6. This scale change, when combined with the recalibration, leads to a net increase of about 45% (correction variable with time) of the most recent part of the series, after 1947. This data can be obtained from https://www.bis.sidc.be/silso/DATA/SN_m_tot_V2.0.txt.

Estimation techniques

The function in which parameters to be estimated is,

$$f(x; c, k, \alpha, \delta) = cx^{\alpha-1}e^{-kx^\delta} \quad (15)$$

The maximum likelihood estimates of the parameters α , δ and k are considered to be the best unbiased, consistent and sufficient estimate of the parameters (Sorenson, 1980). Practically, the least square estimate is considered to be the maximum likelihood estimate. The simple mathematical procedure to estimate the parameters is to minimize the sum of squared error function J .

$$J = \sum_r e_r^2 \quad (16)$$

where e_r is the error. The minimum of J can be found by differentiating J with respect to the parameters α , δ and k .

In the present study, if we consider without loss of generality, a sunspot number cycle having a length of 132 months (≈ 11 year), and if we assume $\{s_n: n = 1, 2, \dots, 132\}$ as the realised sunspot number values, then the function J can be written as,

$$J = \sum_{i=1}^{132} [s_n - f(x_n, \alpha, \delta, k)]^2 \quad (17)$$

where, $x_n = 1, 2, \dots, 132$ represents the months for each $n = 1, 2, \dots, 132$. Then our objective is to compute and solve α , δ and k from

$$\frac{\partial J}{\partial \alpha} = 0 \quad (18)$$

$$\frac{\partial J}{\partial \delta} = 0 \quad (19)$$

$$\frac{\partial J}{\partial k} = 0 \quad (20)$$

Analytically solving the Equations (18) to (20) for α , δ and k is cumbersome. Hence, we go with numerical procedures for estimating the parameters. Monte Carlo based simple random search-based procedure is considered here to estimate the parameters. This procedure is described below as an algorithm.

Step-1. Start with a search region α , δ and k . Let S_α , S_δ and S_k are the bounded search regions of α , δ and k respectively. Our objective is to find an $\alpha_0 \in S_\alpha$, $\delta_0 \in S_\delta$ and $k_0 \in S_k$ such that,

$$J_{\alpha_0, \delta_0, k_0} = \sum_{i=1}^{132} [s_n - f(x_n, \alpha_0, \delta_0, k_0)]^2 \quad (21)$$

is minimum. That is,

$$J_{\alpha_0, \delta_0, k_0} \leq J_{\alpha, \delta, k} \quad (22)$$

for any $\alpha \in S_\alpha$, $\delta \in S_\delta$ and $k \in S_k$

Step-2. Start with a random initial value of α in S_α , δ in S_δ and k in S_k .

Compute J and in each iteration keep the minimum value of J , α , δ and k . After a very large number of iterations take the value of α , δ and k corresponds to the global minimum value of J .

4. Results

Estimates for the parameters

Table 2 shows typical converged values of the four model parameters c , α , k and δ . If we do a Monte Carlo based estimation of these parameters, due to the initial random number variation the optimum value differ numerically due to different starting points. But the variation is insignificant. This was proved in many Monte Carlo based optimizations (Ji et.al., 2006). Hence without loss of generality we consider a typical Monte Carlo run and a converged value of the parameters for further analysis. Table 2 gives one such value. The range of

search or feasible region was found by trial-and-error method. The range considered for the simulation is given below.
 $0.0001 \leq c \leq 0.0030$, $3 \leq \alpha \leq 7$, $0 \leq k \leq 0.9$, and $0 \leq \delta \leq 3.1$.

Model Name and Year in which it is proposed	Functional form	Parameters in Equation (14)				Generalized form
		c	α	δ	k	
SP (1938)	$a(t - t_0)^b \exp(-c(t - t_0))$	free	free	1	free	$ax^\alpha \exp(-cx)$
N (1992)	$N = N_0 \exp(kt)$ $\frac{A}{K}(1 - \exp(-kt))$	free	1	1	free	$a \exp(-cx)$
H (1994)	$\frac{a(t - t_0)^3}{\exp\left(\frac{(t - t_0)^2}{b^2}\right) - c}$	free	4	2	free	$ax^3 \exp(-kx^2)$
S (2008)	$\frac{A_1}{33.2} \exp\left(\frac{- t - 41.7 }{16.6}\right)$ $+\frac{A_2}{46} \exp\left(\frac{- t - 67.3 }{23}\right)$	free	1	1	fixed	$c_1 \exp(-k_1 x)$ $+c_2 \exp(-k_2 x)$
V (2009)	$\frac{(t - t_0)^2}{T_s^2} \exp\left(\frac{-(t - t_0)^2}{T_d^2}\right)$	free	3	2	free	$cx^2 \exp(-kx^2)$
Du (2011)	$A \exp\left(-\frac{(t - t_m)^2}{2B^2(1 + \alpha(t - t_m)^2)}\right)$	free	1	2	free	$c \exp(-kx^2)$
L (2017)	$A_1 \exp\left(\frac{-(t - m_1)^2}{s_1}\right) + A_2 \exp\left(\frac{-(t - m_2)^2}{s_2}\right)$	free	1	2	free	$c_1 \exp(-k_1 x^2)$ $+c_2 \exp(-k_2 x^2)$
SB (2020)	$\frac{A}{\alpha^3} \sqrt{\frac{2}{\pi}} t^2 \exp\left(\frac{-t^2}{2\alpha^2}\right)$	free	3	2	free	$cx^2 \exp(-kx^2)$

Table 1. Different models, their parameters and its values.

Figure 1, 2, and 3 shows the model fit of the model on sunspot number cycles 13, 23 and 24, respectively.

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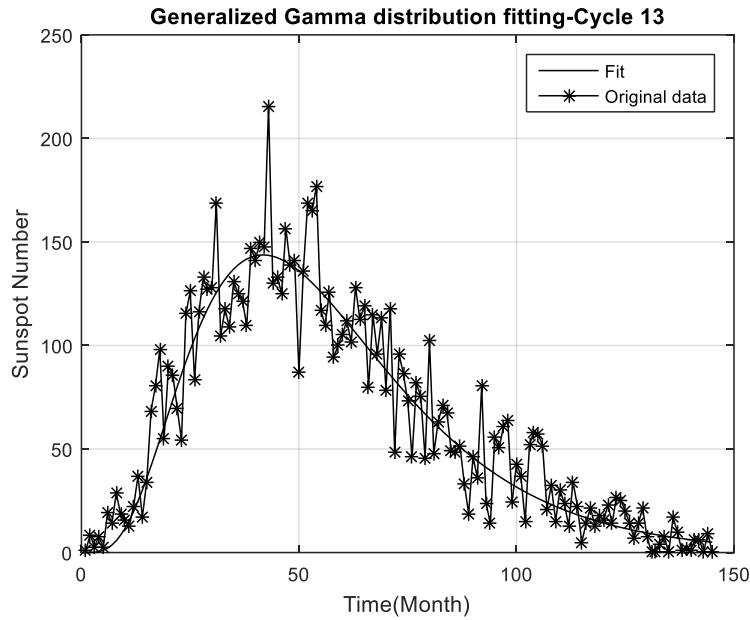


Figure 1. Generalized Gamma distribution fit on the monthly averaged sunspot number cycle 13.

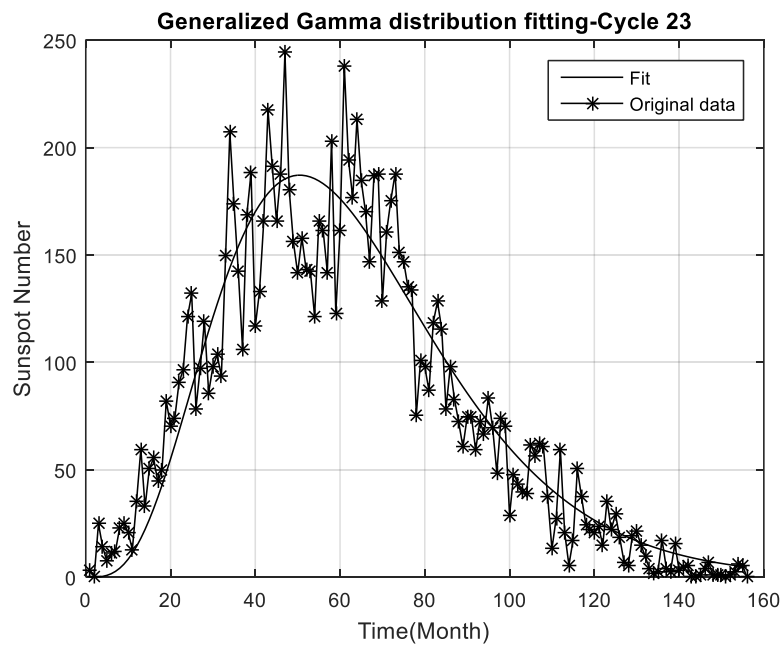


Figure 2. Generalized Gamma distribution fit on the monthly averaged sunspot number cycle 23.

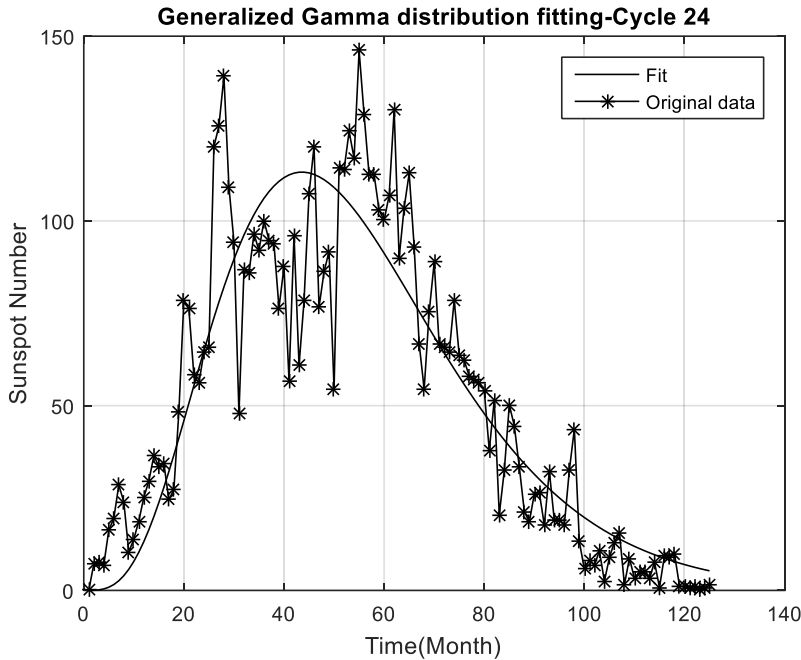


Figure 3. Generalized Gamma distribution fit on the monthly averaged sunspot number cycle 24.

Analysis of the parameters

The parameters are estimated on each of the sunspot number cycle independently. Figures 4 and 5 show these estimated model parameters for sunspot number cycle 1 to 24. The parameters are estimated in the maximum likelihood (ML) sense using the random search method. In table 2 Column number 2 to 5 gives the ML estimate of the parameters c , α , k and δ . Column 6 gives the coefficient of determination R^2 value. From these values one can see that the goodness of fit of the model is fair and on all modern sunspot number cycles are of high degree, since the coefficient of determination is greater than 0.8. The important thing to be noted is that, since the model is derived from the Gamma distribution probability density function as given in Equation (12), there must be a correlation among the model parameters. As evident through the set of Equations (13) the correlation between the model parameters is given in Table 3. α has a very high correlation with k and δ . Figure 6 and 7 shows this correlation along with the linear regression model derived out of this correlation. of course, α and k has a positive correlation and between α and δ a negative correlation. The corresponding linear regression fits are given in Equation (23) and (24).

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$$k = 0.29\alpha - 1.3 \tag{23}$$

and

$$\delta = -0.32\alpha + 2.7 \tag{24}$$

Now, substitute Equation (23) and (24) in Equation (14), we can reduce the proposed model into a two-parameter model. Again, if we re-estimate the two parameters in a maximum likelihood sense, we can again obtain a correlation between the parameters, there by the model reduce to a one parameter model as proposed in the shape of the sunspot number cycle-a one parameter fit by Volobuev (2009). In fact, the reduction of model parameters into a single parameter does not add any predictive power in the characterisation of a sunspot number cycle via the prediction of the peak amplitude, location of the sunspot maximum, cycle length etc. Hence, an indicative parameter for these characters of a sunspot number cycle is essential in a sunspot model. So minimum two parameters, a location parameter and a scale parameter must be there in a sunspot model. If there is a shape parameter, it can characterize the degree of asymmetry present in a cycle.

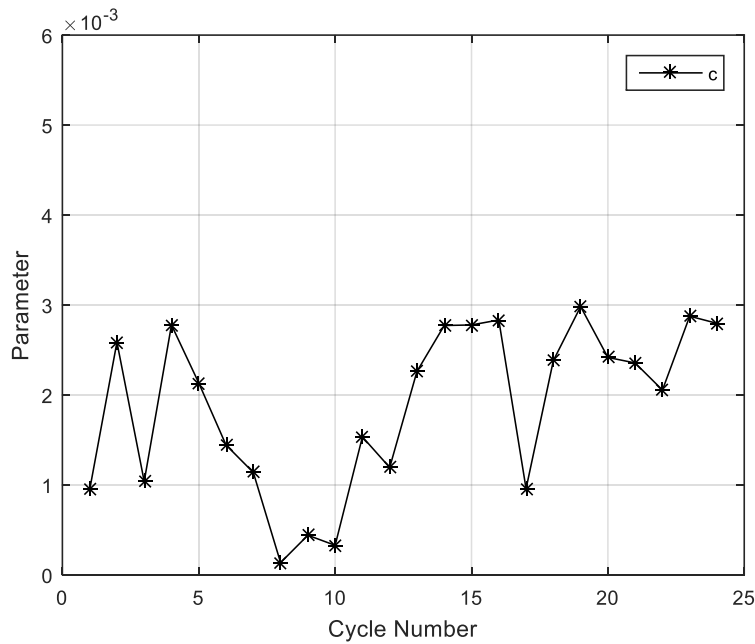


Figure 4. Variation of the ML estimate of the parameter c over different sunspot number-cycles

Coefficient of determination (R^2) is considered as one of the measures of goodness of fit for a regression fit. For each cycle the coefficient of determination for the best fit are computed and is given in Table 2, column 6 and the pictorial version is given in Figure 8.

Sunspot number cycle Number	c	α	k	δ	Coefficient of determination
1	0.00095	4.62004	0.03367	1.10253	0.7
2	0.00259	5.86033	0.52436	0.69427	0.7
3	0.00104	6.79747	0.80867	0.65381	0.9
4	0.00278	5.83371	0.56733	0.66284	0.9
5	0.00213	4.21489	0.01729	1.22798	0.8
6	0.00144	3.97518	0.00191	1.62772	0.6
7	0.00114	4.23167	0.00323	1.53445	0.7
8	0.00014	6.71511	0.47050	0.72610	0.8
9	0.00044	5.30111	0.10092	0.93291	0.8
10	0.00033	6.01638	0.37419	0.72687	0.9
11	0.00153	5.29585	0.14993	0.89630	0.9
12	0.00119	4.53186	0.01235	1.33943	0.8
13	0.00226	6.11178	0.74136	0.63797	0.9
14	0.00277	5.06902	0.26096	0.77246	0.7
15	0.00278	4.34677	0.00650	1.48307	0.8
16	0.00283	4.81611	0.08682	0.98842	0.8
17	0.00096	4.98223	0.04514	1.10391	0.9
18	0.00238	4.96288	0.06138	1.07036	0.9
19	0.00298	5.12209	0.08539	1.02239	0.9
20	0.00242	4.74031	0.08620	0.95019	0.9
21	0.00236	5.06018	0.08613	1.00536	0.9
22	0.00205	5.00316	0.06184	1.07074	0.9
23	0.00288	4.78601	0.07280	1.00605	0.9
24	0.00280	4.84851	0.09596	0.98271	0.8

Table 2. The estimated model parameters for sunspot number cycles 1 to 24.

It may be seen that the R^2 value for most of the cycles are greater than 0.8. Especially, for modern cycles (cycle 15 to 24) the R^2 values are greater than 0.8. This shows that the generalized Gamma model is best for modelling the shape of a sunspot number cycle.

	c	α	k	δ
c	1	-0.27	-0.09	-0.02
α		1	0.89	-0.86
k			1	-0.78
δ				1

Table 3. The correlation among the estimated model parameters.

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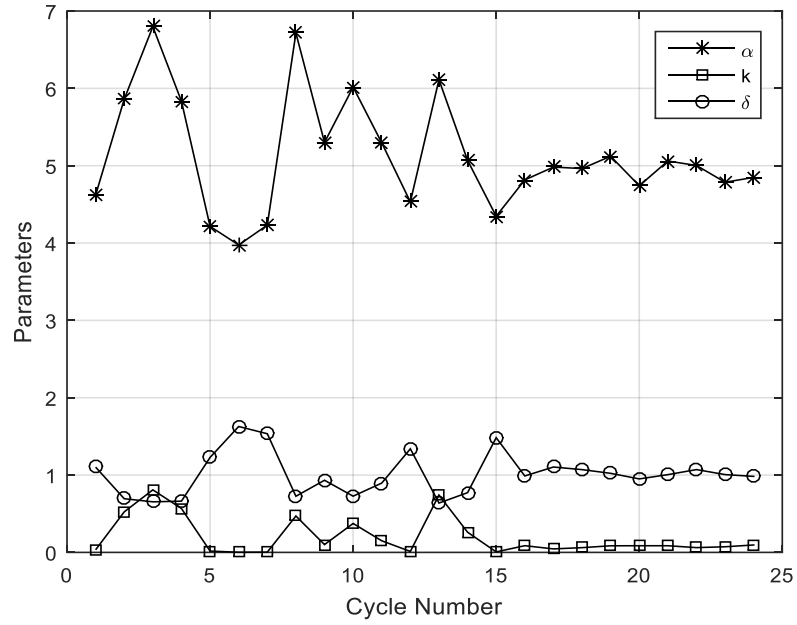


Figure 5. Variation of the ML estimate of the parameter α , k , and δ over different sunspot number cycles.

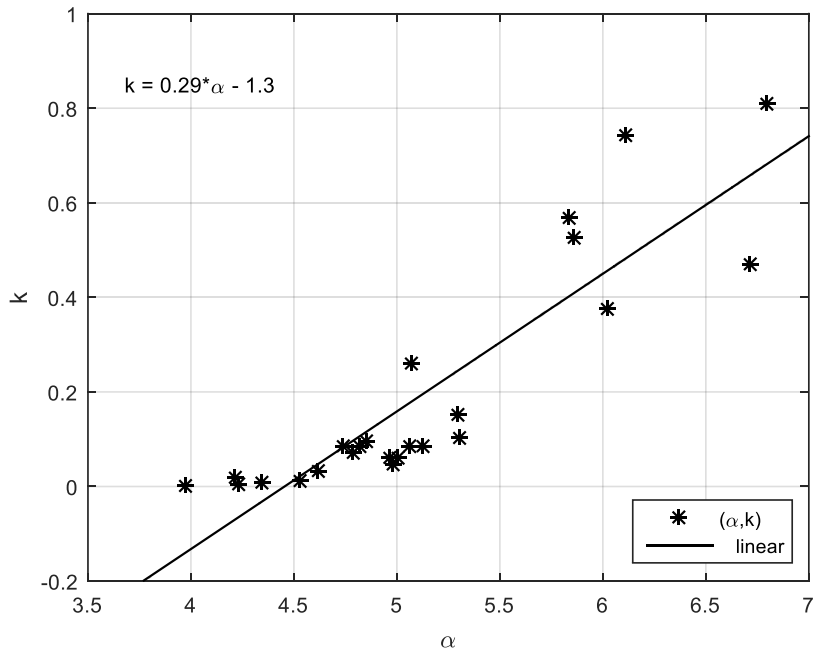


Figure 6. Linear correlation between the model parameters α and k .

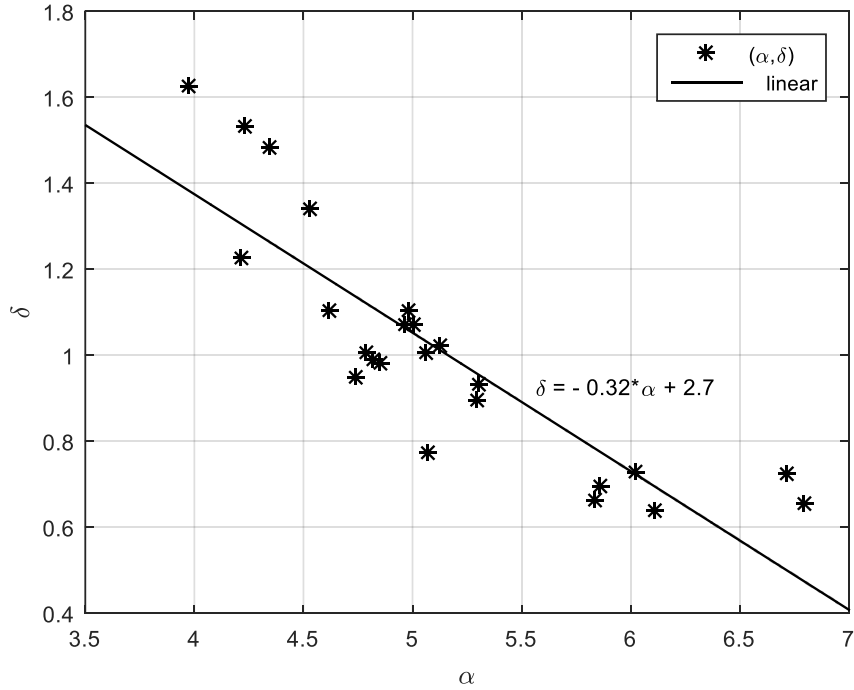


Figure 7. Linear correlation between the model parameters α and δ .

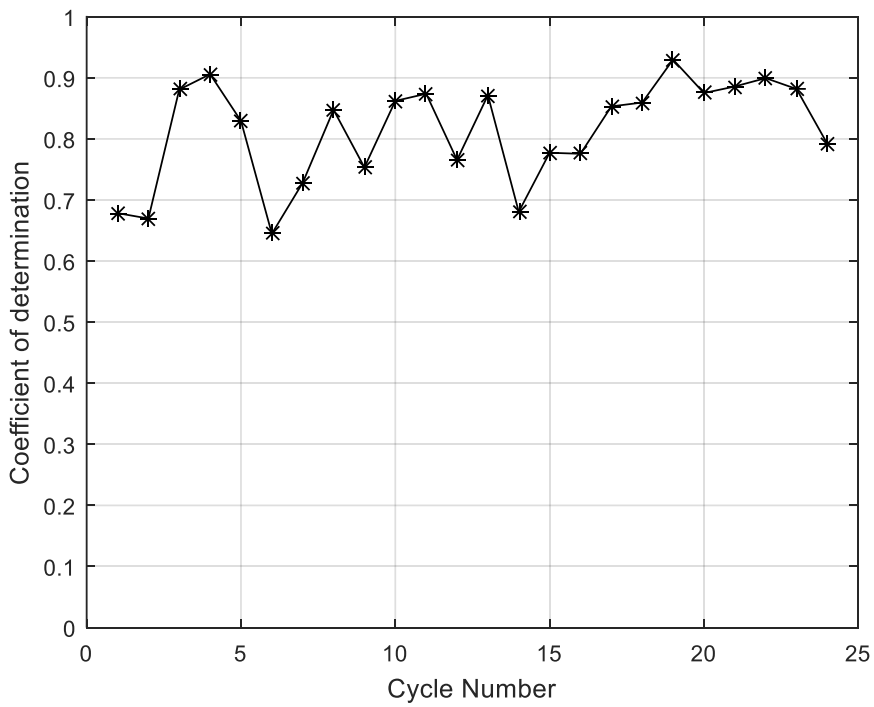


Figure 8. Coefficient of determination (R^2) of the ML fit on different cycles.

Prediction of sunspot number cycle 25

As an attempt has been made to predict the shape of the sunspot number cycle 25. Before attempting the predict cycle 25 from the proposed model, a direct comparison of the model of all the sunspot number cycles from 1 to 24 has been done. Figure 9 shows this model comparison. It may be noted that, the length of the cycle is not being considered here. Without loss of generality one can assume the length as 132 months. The variation in amplitude and the location of the peak amplitude varies between cycles. The range of variation of peak amplitude is from 70 to 300 units of sunspot numbers. Since the range of variation of the peak amplitude is quite large, the problem of prediction of cycle 25 is cumbersome from the previous cycle's model parameters alone. Hence, we make two kinds of predictions which are statistically more probable forecasts. They are (1) Since the parameters α , k and δ has lesser variation if we consider cycle 16-24, we fix these parameters as their average over cycle 16 to 24 and re-estimated the other parameter c alone for these cycles. Then for cycle 25, the parameter is c taken as the average of the re-estimated values of c for cycle 16 to 24. This value is 0.002. The remaining parameters are, $\alpha = 4.9246$, $k = 0.0757$, $\delta = 1.02$. The prediction of cycle 25 in this direction is plotted in Figure 10.

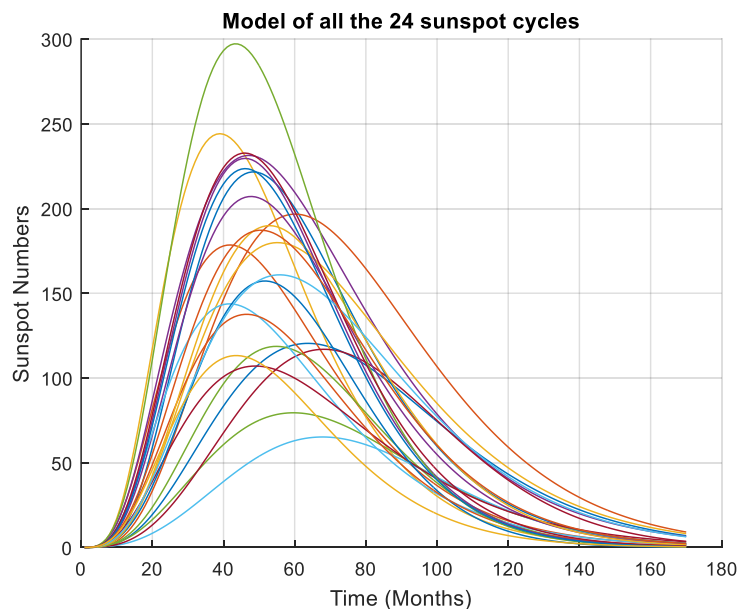


Figure 9. Model of all the 24 Sunspot number cycles.

This prediction shows the peak amplitude as 157 units occurring at 47 months from the beginning of sunspot number cycle 25. The second methods are (2) keeping the parameters α , k , and δ similar to cycle 24 and keeping the

parameter c as taken in method (1). In this way the peak amplitude of cycle 25 is 81 units of sunspot numbers occurring at 44 months from the beginning of the cycle 25. These two predictions can be considered as a band, inside which the actual cycle 25 may occur.

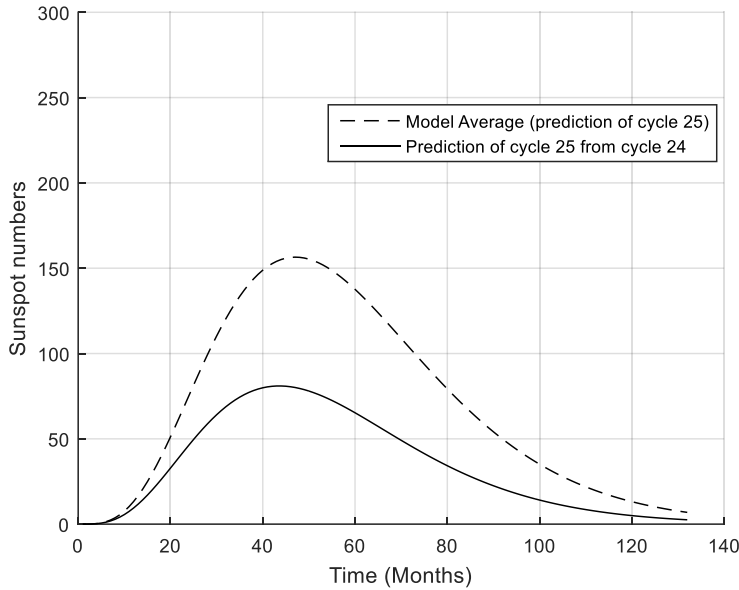


Figure 10. Prediction of Sunspot number cycle 25
Maximum peak occurring at 44 months with a sunspot number value of 81 units and 47 months with a sunspot value of 157 units.

5 Conclusion

Proposed a model which can unify many of the shape models existing in the literature. Also, it is shown that the shape model of sunspot number cycle can be described by a product of a polynomial and a negative exponential function. Since all the models reviewed in this paper are a product of a polynomial and a negative exponential, we proposed that all these models can be derived from the generalized Gamma probability density function by giving suitable parameter values. In this paper, we derived the existing models from the proposed generalized Gamma model and estimated the parameters of the proposed model from the revised version-2 monthly averaged sunspot numbers available in the SIDC's website. Prediction of sunspot number cycle 25 shows that the peak amplitude of cycle 25 can vary between 81 to 157 units of sunspot numbers and this peak amplitude may occur between 44 to 47 months from the beginning of cycle 25. In actual date, this shows cycle 25 may peak during August 2023 to November 2023.

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