

# The nucleus locus coeruleus modulatory effect on memory formation: A literature review

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## Abstract

The nucleus locus coeruleus (LC), the main source of norepinephrine in the brain, is connected to memory processing regions such as the hippocampus and baso-lateral amygdala (BLA). The LC and its mostly associated noradrenergic projections, play an important role in memory formation parallel to other neurotransmitter systems. It has been suggested that the unique response characteristics of LC to various situations strengthens different memories formation. Here, we review key related findings of LC effect on memory (avoidance, spatial, cognitive) formation, memory processing regions, memory molecular mechanisms as well as its role in memory related disorders. Literature review was conducted by extensive search on ISI, PubMed and Scopus, online databases from May 2021 to July 2021. According to the obtained results, LC noradrenergic projections to memory processing areas of the brain, can modulate the encoding, consolidation, and retrieval for different memory types. Also, the LC regulates neurogenesis and neural plasticity in different areas of the brain. Evidences suggested that dysfunction of the LC and its associated noradrenergic system may lead to cognitive impairment or a variety of memory-related disorders, including Alzheimer's disease. Finally, it can be concluded that the locus coeruleus noradrenergic system may be a suitable target for the treatment of different memory/cognitive disorders.

**Keywords:** Locus coeruleus, Memory, Neurogenesis, Neuronal plasticity, Alzheimer's disease

## 1. Introduction

Memory is the dynamic, unlimited process that information is learned, stored, and retrieved through it [1]. This complex brain function has various types, such as avoidance, spatial and recognition memory and each involves different brain areas [2]. Memory formation comes from interactions between different cells, neurons, neural circuits, and nuclei within the brain [3, 4]. The complicated neurobiological interplay between different parts causes molecular changes in neurons and non-neuronal cells, formation of new

memories, and finally leads to individual behavioral strategies and decisions alteration [4].

Although memory formation is a result of the interactions between different chemical factors and brain cells, given its central role in inducing cellular and circuit changes, norepinephrine (NE) has great importance in memory formation [5]. The nucleus locus coeruleus (LC), the primary source of brain norepinephrine, with its medium-sized dense noradrenergic neurons, is known as the locus coeruleus noradrenergic system (LC-NE) [6]. The LC-NE system affects the neural circuits which form the

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basis of consciousness and cognitive processes. Also, it exhibits modulatory effect on different brain related process such as arousal, sleep-wake cycle, learning, anxiety, pain, mood, and energy metabolism [7]. LC projections innervate various parts of the brain including the cerebellum, spinal cord, and hippocampus (HIPP); on the other hand, it receives inputs from different brain nuclei such as the lateral raphe nucleus and nucleus of the tractus solitarius (NTS) [8]. Due to the substantial connectivity of the LC-NE with several parts of the HIPP, the locus coeruleus plays a crucial role in synaptic amplification of neuronal circuits in this area and thereby on the cognitive functions of the brain such as mood and memory [9].

To better clarify the LC-NA system critical role in different memories, we reviewed several studies and a variety of behavioral tests. Moreover, alongside explaining the critical role of the LC-NE in neuronal circuits, to draw a comprehensive feasible framework to develop future researches, its effect on molecular and cellular processes of memory formation was reviewed.

## 2. Methods

A comprehensive literature search was conducted on PubMed and Scopus databases looking for articles using the following key terms: "Locus coeruleus", "Norepinephrine", "Memory", "Avoidance", "Spatial", "Recognition", "Long-term potentiation", "Neurogenesis", and, "Alzheimer's disease". The key terms were chosen using MeSH and, Boolean operators such as "AND" and "OR" were used to connect the key terms. From May 2021 to July 2021, three researchers conducted the search independently. In this study, papers on learning, memory and cognition associated with the nucleus locus coeruleus were selected, and eventually, 200 articles were included in the study. Next, the articles about Parkinson's disease, depression, as well as those written before 2000, were excluded. Also, to prevent missing valuable studies, the references of the included articles were searched to extract other related studies. Finally, 50 studies were extracted and included in the review.

## 3. LC and memory

### 3.1 LC and avoidance memory

Avoidance memory is the experience of unpleasant and emotional stimuli that subsequently causes avoidant behaviors to avoid them [10]. Different parts of the brain, such as the amygdala, NTS, and HIPP actually play an important role in the acquisition and consolidation of this memory [11]. More specifically, various studies have shown that different amygdala nuclei have a major role in achieving an avoidance strategy or consolidation of avoidance memory [12]. It has been suggested that LC stimulation can improve avoidance learning and memory as it is the main source of the amygdala norepinephrine [13]. In line with this suggestion, Llorca-Torrallba et al. reported, pain as an external stimulus could enhance avoidance memory through the stimulation of LC-NE and intensify its effect on the amygdala [14]. Similarly, according to Fan et al. obtained results of an animal study, corticosterone and stress increase the expression of adrenaline-producing enzymes in LC, boost the function of the basolateral amygdala (BLA) and development of avoidance behavior [15]. Also, Shelkar et al. have been reported that interaction between LC-NE and NO facilitates the expression of avoidance behavior [16]. Moreover, Khakpour-Taleghani et al. revealed that while temporary LC inactivation has no influence on the acquisition of negative experiences during avoidance learning, LC plays a role in the consolidation of this memory and converting short-term into long-term memory [17]. It has also been observed that stimulation of LC noradrenergic nerves increase neuronal firing in the BLA, and triggers anxiety-like responses in rodents [18]. Moreover, it seems that stress can induce a reflexive response in the central region of the amygdala (CeA), thereby potentiates rodents avoidance memory through enhanced BLA neuronal firing following augmentation of tonic LC-NE activity (Figure 1) [6].

### 3.2 LC and spatial memory

Spatial memory is the ability to keep encoded and retrieved information which is related to an individual's environment and/or spatial orientation. Indeed, it is a result of the interplay between different cell populations within the HIPP [19], and other various areas of the brain, including the LC. Given the strong mutual neuronal connections between the HIPP and the LC, several studies have been conducted to assay the effect of LC stimulation on the HIPP and

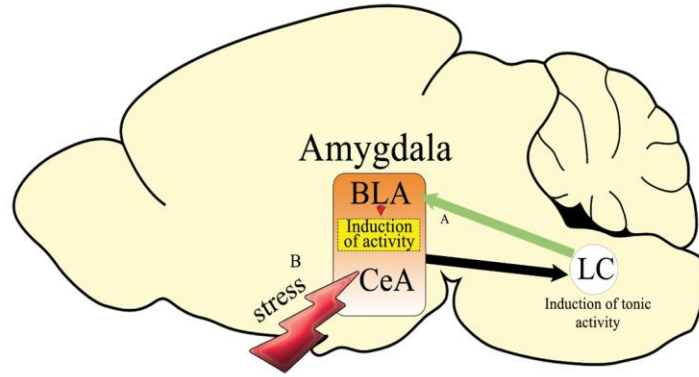


Figure 1. Path A: The amygdala is the main area for avoidance memory formation. The LC noradrenergic projections [green arrow] affect avoidance memory formation in BLA. Path B: Stress, causes the reflective enhanced CeA activity [dark arrow], which in return increases tonic activity of LC-NE and subsequently, the BLA activity increases.

Abbreviations: BLA: basolateral amygdala; CeA: central nucleus of the amygdala; LC: locus coeruleus.

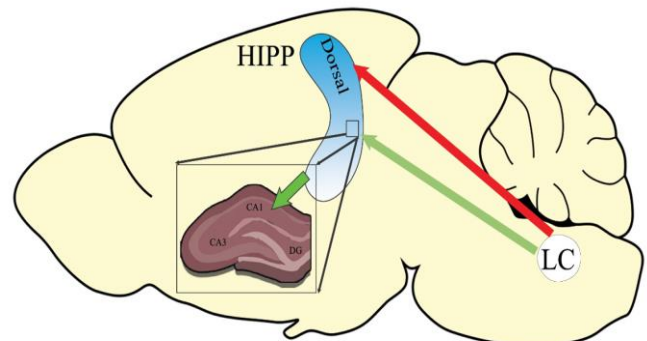
spatial memory. Lemon et al. found that electrical stimulation of the LC could enhance neural encoding of spatial information in hippocampus CA1 region synapses and subsequently resulted in spatial memory improvement [20]. Similarly, in another report, LC stimulation and consequent epinephrine increase enhanced the signal-to-noise ratio of sensory stimuli and thereby could improve spatial memory performance [21]. It should be emphasized that there are some discrepancies on the LC role in spatial memory processing. According to Khakpour-Taleghani et al., although the LC-NE activity has an effective role in spatial memory, it is not the only contributing factor in memory formation. Additionally, the bilateral inactivation of LC had no major effect on the spatial memory consolidation [22]. It may be probable that other factors such as the release of norepinephrine from other parts of the brain can mitigate the negative effects of LC destruction on spatial memory consolidation [22]. In contrast to

Khakpour-Taleghani and colleagues findings, others have mentioned impaired spatial memory after the destruction of noradrenergic neurons and the associated decrease in norepinephrine level within the brain [23, 24]. It seems, to solve such controversial findings we need further studies to clarify the role of LC-NE in spatial memory formation. Generally, it appears that LC can improve spatial memory through noradrenergic projections and  $\beta$ -adrenergic receptor-dependent signaling pathway which has an effect on neurodevelopmental activity in the HIPP [25]. Currently, we know that dopaminergic projections which originate from the LC can improve spatial memory through targeting D1 and D5 receptors across the dorsal hippocampus (Figure 2) [26].

### 3.3 LC and recognition memory

Recognition memory, a hippocampal higher-level function, represents the ability of animals and humans to identify a stimulus or situation which previously had

Figure 2. The brain portions involved in the spatial memory formation. The LC sends noradrenergic (green arrow) and dopaminergic (red arrow) projections to the hippocampus CA1 area and dorsal hippocampus, respectively. Ultimately, these modulatory projections lead to the spatial memory formation. Abbreviations: LC: locus coeruleus; HIPP: hippocampus; CA1: hippocampal region CA1; CA3: hippocampal region CA3; DG: dentate gyrus.



encountered it [27]. Given the robust association between the LC and the HIPP, the hippocampal modulatory effect on recognition memory is not out of scope [28]. Therefore, a large number of studies have been conducted to better understand the relationship between the LC and this type of memory. Titulaer et al. reported that stimulation of LC and subsequent norepinephrine increase in the HIPP ventral area plays a key role in the animals' performance improvement in recognizing new objects [29]. In addition, Kempadoo et al. found that LC light stimulation increases dopamine in dorsal HIPP and eventually improves animal model of recognition memory performance [26]. Similarly, according to Glennon et al. LC stimulation by chemical or even external stimuli such as hearing can also improve recognition memory [30]. In addition to the bilateral relationship between LC and HIPP, according to Mello-Carpes et al. findings, the LC-HIPP-NTS-paragigantocellularis (PGi) pathway can significantly affect recognition memory performance [31]. Furthermore, the frontal cortex-LC pathway is another modulator of this memory through  $\alpha$ -adrenoceptor signaling [32]. Based on the abovementioned reports, it can be concluded that the neurological activity of locus coeruleus and its noradrenergic projections to different brain areas, like the HIPP, play a pivotal role in the development of this higher function memory (Figure 3) [29].

#### 4. LC and CNS neurological changes

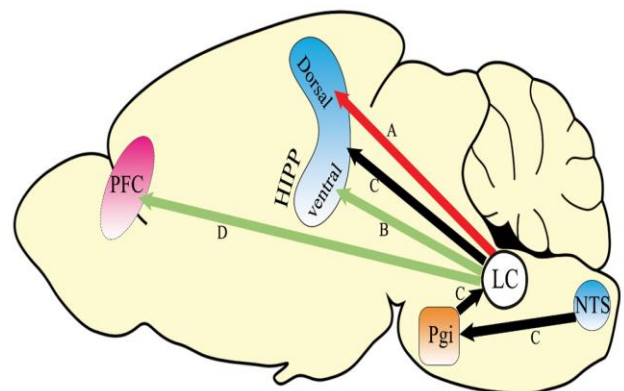
##### 4.1 LC and neurogenesis

Neurogenesis is a process in the central nervous system (CNS) of adult vertebrates to produce more nerve cells [33]. Among the various factors, the LC-NE system has a major role in the neurogenesis of the CNS at different stages of life and in a variety of ways [34].

It has been observed that LC-NE induced neurogenesis begins shortly before birth and reaches its peak in infancy. It exerts significant beneficial effects on learning and memory by its trophic effect on the CNS [35]. Also, the LC has an improving role in the neurogenesis of other brain nuclei. According to Guérin et al. study, LC removal in transgenic immature mice leads to the newborn cells death and the polysialylated neural cell adhesion molecule [PSA-NCAM] decrease in the olfactory bulb and eventually, olfactory memory reduction [34]. Also, Bortolotto et al. found that the LC-NE intensifies HIPP nerve-producing cells (NPCs) neurogenesis through  $\beta$ -adrenoceptor stimulation [36]. Furthermore, Fan et al. reported that alterations of LC cells gene expression can influence neurogenesis of HIPP. They revealed that in older mice the expression of a certain type of LC cells transcription factor could enhance cell division in animals' dentate gyrus region [37]. Also, it has been observed that genetic alterations such as LC cell's nucleus miRNA increase, exert trophic effect on the HIPP [38]. Moreover, according to Coradazzi and colleagues research, destruction of the LC, directly diminishes neurogenesis and reduces reproductive cells of the HIPP [39]. Finally, it should be noted that in addition to the LC-NE positive effect on neurogenesis, it also exerts neuroprotective effect through multiple mechanisms including decreasing the oxidative stress and inflammatory responses as well as enhancing endotrophic-neurotrophic systems (NTFs), especially brain-derived neurotrophic factor (BDNF), neurotransmitter production, cholinergic cell survival and also, modulation of CNS energy metabolism alongside with regulation and maintain extracellular homeostasis [40].

Figure 3. Path A. The LC dopaminergic projections (red arrow) to the dorsal hippocampus have a significant role in development of recognition memory. Path B. LC-NE (green arrow) promotes the recognition memory by its effect on the ventral hippocampus. Path C. The LC-HIPP-NTS-PGi pathway (dark arrow) has an effective role in recognition memory function. Path D. LC-NE (green arrow) inhibitory effect on the pre-frontal cortex modulates recognition memory performance.

Abbreviations: LC: locus coeruleus; HIPP: hippocampus; PFC: prefrontal cortex; NTS: nucleus of the solitary tract; Pgi: paragigantocellularis.



#### 4.2 LC and neural plasticity

Long-term potentiation (LTP) is a form of neuroplasticity that is thought to be a common cellular mechanism for many learning and memory processes [41]. The LC-NE system plays a pivotal role in the development of LTP in important memory processing centers such as the dentate gyrus and the CA1 region of the hippocampus. NE exerts its positive effect through different members of the adrenoceptor family [42]. Quinlan et al. found that in animal models, the LC stimulation with light can lead to LTP formation in the dentate gyrus and subsequent long-term learning and memory improvement [43]. According to Reid et al. following simultaneous stimulation of the perforant pathway and the LC, norepinephrine release starts and results in hippocampal neuronal plasticity and the initiation of learning process [44]. Also, Lashgari et al. has reported that unlike short periods, over a long period of the time, LC noradrenergic system can induce LTP through protein synthesis enhancement [45]. Moreover, according to Hammerschmidt et al. results, the LC destruction can decrease the LTP occurrence in the HIPP through the reduction of CaMKII and NR2A and finally leads to memory loss [46]. In addition, according to Tamano et al. report, through  $\beta$ -adrenoceptors activation the LC-NE regulates  $Zn^{2+}$  ion concentration and subsequently beta-amyloid decrement can facilitate the hippocampal dentate gyrus LTP production [47]. It should be noted that the sensitivity towards epinephrine is not the same at different parts of the HIPP, and each region expresses a certain level of LTP, depending on its  $\beta$ -adrenoceptors expression [48]. Titulaer et al. found that in addition to NE, the nucleus locus coeruleus dopaminergic projections have an effective role in the LTP production in the ventral HIPP which is involved in recognition memory. They suggested that it acts through stimulation of hippocampal D1/5 receptors [29].

#### 5. LC and Alzheimer's disease

Many studies have demonstrated that LC is highly susceptible to various toxins and infections, and in many cases, is the primary site where AD pathology occurs [49]. German et al. found that amyloid-beta build-up in the LC results in the destruction of its neurons [50]. Similarly, Ross et al. observed that excessive activity of the LC in response to hormonal

stressors such as the corticotropin-releasing factor may cause production and deposition of amyloid-beta and introduced it as one of the most important pathologies of Alzheimer's disease (AD) [51]. Aghajyanov et al. reported that in animal models of Alzheimer's disease, elevated level of oxidative stress, together with increased concentration of monoamines, particularly norepinephrine, is accompanied by severe structural damage to LC monoaminergic neurons [52]. Also, Hopp et al. found that neuroinflammation can induce AD symptoms by disrupting the regulation of calcium ions in the LC and leads to impaired nervous pacemaker activity [53]. Furthermore, it has been observed that loss of the LC noradrenergic neurons or its decreased activity causes AD symptoms in different parts of the brain [54, 55]. For example, Kelly et al. demonstrated that degeneration of the LC-NE system increases the risk of early AD through impairing vascular and neurological function in the brain areas associated with memory and cognitive performances [55]. Another hypothesis is that the primary signaling of aberrant forms of tau protein begins in the LC [56]. Kang et al. have been reported that aberrant forms of tau protein not only cause neurological dysfunction but also are capable of spreading memory processing regions of the brain and might contribute to the AD development [57]. Moreover, we know that increased LC activity by neurotrophic factors, may have beneficial effects in preventing neuropsychological signs of AD [58].

#### 6. Conclusion

The nucleus locus coeruleus through its noradrenergic projections affects various parts of memory processing areas of the brain. Also, LC-NE as a potent modulatory system, as well as other important memory processing cholinergic and dopaminergic systems, play an important role in memory formation. In addition, through the stimulant noradrenergic projections to the baso-lateral amygdala, LC has an effective role in enhancing avoidance memory. Of course, the effective role of LC in other brain areas such as NTS should be considered in the avoidance memory formation. It develops spatial memory mainly through  $\beta$ -adrenergic signaling in the CA1 region of the hippocampus. Furthermore, due to its stimulatory effect on the LC-HIPP-NTS-PGi pathway, especially the hippocampus and the modulatory effect on the frontal

cortex, LC has a pivotal role in the development of cognitive memory. On the other hand, LC-NE can lead to permanent LTP production and neurogenesis through the induction of cellular level changes in gene expression, protein synthesis, and ions concentration in nuclei and/or circuits involved in memory formation. Moreover, we must emphasize that the role of the LC dopaminergic projections should not be overlooked in the memory development. Based on the previous evidences, injuries, degeneration or destruction of the LC and LC-NE system may also contribute to memory loss and neurodegenerative diseases such as Alzheimer's disease. Although, this issue needs more extensive research to prove, this finding can be considered as a clear perspective for the development of treatment planning platforms based on the strengthening of the LC-NE system.

### Author contributions

BKH: provided main title of study, studies platform, collection of data, and drafting. MAH: provided collection of data, draw figures, and drafting. AJ: provided scientific review, critical revisions, and native English edition.

### Conflict of interests

The authors declare that they have no conflict of interest.

### Ethical declarations

Not applicable.

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