

# Immunohistochemical expression of HOXA1, and Ki-67 proteins of oral squamous cell carcinoma

*Ibtehal Qhtan Al-Etbi, B.D.S., M.Sc. (1)*

*Riyadh Othman Al-Kaisi, B.D.S., M.Sc., Ph.D. (2)*

## ABSTRACT

**Background:** Oral squamous cell carcinoma (OSCC) is the most prevalent malignant neoplasm of the oral cavity and constitutes a major health problem in developing. In the last 30 years, the 5-year survival rate of patients with oral SCC has not improved despite advance in diagnostic techniques. To improve early diagnosis for this deadly disease, new biological markers are needed. HOX genes encode homeodomain-containing transcription factors involved in the regulation of cellular proliferation and differentiation during embryogenesis. HOX gene expression has been described in several adult tissues, where they performed important roles in maintaining homeostasis. Few studies have suggested that HOXA1 plays a role in tumorigenesis. Besides being overexpressed in several tumors, HOXA1 influences numerous cellular processes including proliferation, apoptosis and epithelial-mesenchymal transition (EMT), and HOXA1 overexpression is sufficient for malignant transformation of nontumorigenic epithelial cells. Ki-67 is a specific marker of proliferation and the expression of which is strictly associated with cell proliferation and is widely used in pathology as a proliferation marker to measure the growth fraction of cells in human tumors. The aims of this study were to evaluate the immunohistochemical expression of HOXA1 & Ki-67 in OSCC & to correlate the expression of the studied markers with the clinicopathological findings and with each other.

**Materials and Methods:** Thirty formalin-fixed, paraffin- embedded tissue blocks of oral squamous cell carcinoma were included in this study. H&E stain was done for each block for reassessment of histological examination. An immunohistochemical stain was performed using anti HOXA1 and anti Ki-67 poly clonal antibodies.

**Results:** The expression of HOXA1 and Ki-67 were positive in all oral squamous cell carcinoma cases & in all layers (100%), while the expression was restricted to the basal and supra basal layer in normal oral mucosa. Statistically non-significant correlation observed between each marker with clinico-pathological parameters. While a statistically significant association was found between the expressions of two markers, (p-value= 0.027).

**Conclusion:** The statistically significant association observed between expressions of HOXA1 with the specific marker of proliferation Ki-67. This suggested important role in oral SCC development and progression.

**Keywords:** OSCC, HOXA1, Ki-67. (J Bagh Coll Dentistry 2014; 26(2): 74-78).

## الخلاصة

### الخلفية:

سرطان الخلايا الحرشفية هو السرطان السائد في التجويف الفمي ويمثل المشكلة الرئيسية المؤدية للوفاة في بلدان العالم الثالث. وبالرغم من تطور التقنيات الطبية التشخيصية إلا إن مستوى سنوات البقاء الخمسة المعتمدة في علم الأورام لم يتطور بشكل مفيد في السنوات الثلاثين الأخيرة. إن الكشف المبكر لسرطان الخلايا الحرشفية الفموي مهم جدا للحد من خطورته ولذلك تم التركيز على إيجاد واسمات بيولوجية جديدة ومنها جين HOXA1 وهو احد افراد عائلة جين HOX التابعة لجينات Homeobox المحفزة للنمو والتميز أثناء النمو الجنيني والتكوين العضوي وقد يظهر في الأنسجة البالغة عند الحاجة أيضا. وقد أثبتت دراسات حديثة دوره المسرطن الفعال في العديد من الامراض السرطانية حيث يظهر بشكل غير متوازن في الأنسجة. Ki-67 هو مؤشر التكاثر الرئيسي في النواة وهو المساعد في كشف وجود اي انقسامات في الأنسجة وبالتالي فه فانده عظيمه في التنبؤ والكشف المبكر لسرطان الفم. تهدف الدراسة الحالية الى التحري والتحقق من ظهور جين HOXA1 في السرطان الحرشفي للفم وربط ظهور الجين بمؤشر التكاثر Ki-67. وكذلك ربط ظهور كل منهما مع المعطيات السريرية المرضية لسرطان الفم الحرشفي.

**المواد والطرق:** تضمنت هذه الدراسة ثلاثين عينة استرجاعية لأشخاص مصابين بسرطان الفم الحرشفي والتي استخرجت من المقاطع النسيجية المثبتة بالفورمالين والمطمورة بشمع البارافين وجرى صبغ كل عينة بصبغتي الهيماتوكسيلين والايوسين لإعادة تقييمها لغرض الفحص النسيجي المرضي. بعد لك اجريت الصبغات الكيميائية النسيجية المناعية باستخدام مضاد HOXA1 ومضاد Ki-67 على شرائح نسيجية دقيقة من العينات.

**النتائج:** أظهرت الدراسة أن أكثر حالات هذا السرطان تقع في الاعمار التي تفوق الخمسين عاماً وأن معظم تلك الحالات تركزت في الذكور وبنسبة (70 %) . أما نسبة إصابة الذكور الى الانثى فقد أظهرت هذه الدراسة الى انها تساوي: (1:2). كذلك أظهرت الدراسة ان معظم الحالات كانت في اللسان (36,7%) ومعظمها ظهرت سريريا بشكل أورام (73,3%) . أما الفحوصات النسيجية المرضية لهذه الدراسة فقد أظهرت ان (43,3%) من الحالات السرطانية هي من النوع المتوسط التمايز و(40,0%) من النوع الواضح التمايز لسرطان الفم الحرشفي . أظهرت هذه الدراسة أيضاً ان تعبير HOXA1 ومؤشر التكاثر Ki-67 كان ايجابياً في الطبقة السفلى فقط من النسيج المخاطي الفموي الطبيعي، بينما كان ايجابياً في كل طبقات النسيج الحرشفي لسرطان الفم. كذلك أظهرت هذه الدراسة وجود علاقة واضحة بين ظهور جين HOXA1 ومؤشر التكاثر Ki-67 مما يدل على الدور المهم لجين HOXA1 كعامل مسرطن وذلك بواسطة تحفيز انقسام الخلايا وبالتالي النمو السرطاني الفموي واخيرا بينت هذه الدراسة عدم وجود اية علاقة بين العاملين السابقين والمتغيرات السريرية المرضية الاخرى المصاحبة لسرطان الفم الحرشفي.

**الاستنتاجات:** تلازم وجود جين HOXA1 مع مؤشر التكاثر Ki-67 دلالة على دور جين HOXA1 في انقسام الخلايا والنمو السرطاني لذلك تقترح هذه الدراسة اجراء دراسات جديدة بعينات أكثر عددا لمعرفة الدور الحقيقي لجين HOXA1 او عضو اخر لجين HOX مع مؤشر التكاثر Ki-67 او مع مؤشر تكاثر اخر.

## INTRODUCTION

Squamous cell carcinoma (SCC) accounts to more than 90% of malignant tumors of the oral cavity and oropharynx. It is often related to considerable mortality and morbidity rates, and presents a variable etiology related to alcohol and tobacco abuse associated with genetic factors<sup>(1,2)</sup>.

(1) Master student, Department of Oral Diagnosis, College of Dentistry, University of Baghdad.

(2) Professor, Department of Oral Diagnosis, College of Dentistry, University of Baghdad.

The homeobox genes, is a master regulators of morphogenesis and cell differentiation during embryogenesis, have emerged as potential candidates to be also involved with carcinogenesis. This important family of genes codes regulatory proteins that act as transcriptional factors controlling the development of several tissues including orofacial tissue<sup>(3,4)</sup>. HOXA1 is a member of HOX genes family which is subgroup of homeobox genes have important role in OSCC development and

progression. Ki-67 is a cell cycle associated human nuclear protein present in perichromosomal region<sup>(5)</sup>.

The estimated half-life of Ki-67 antigen is 60-90 minutes, and the Ki-67 antigen starts to be expressed in S phase, progressively increasing through S and G2 phase and reaching a plateau at mitosis. After cell division, the cell return to G1 with a stock of Ki-67 antigen, whose level decreases rapidly during this phase<sup>(6,7)</sup>.

This study aimed to:

- Evaluate the immunohistochemical expression of *HOXA1* and *Ki-67* markers in oral squamous cell carcinoma.
- Correlate the expression of either marker with each other and with the Clinico-pathological parameters (age, sex, tumor site, clinical presentation, and histopathological grades) of OSCC.

## MATERIALS AND METHODS

A retrospective study was performed on thirty-formalin- fixed paraffin embedded blocks of OSCC were collected from the archives of Oral Pathology laboratory, College of Dentistry, Baghdad University, Al-Kadhimiya teaching hospital, and Al-Shaheed Ghazi Hospital/ Medical City / Baghdad from (2010-2013). The diagnosis of each case was confirmed by examining the Hematoxylin and Eosin (H&E) sections by two experienced pathologists. Four micrometer thick sections were cut and mounted on positively charged slides and stained immunohistochemically with monoclonal antibodies using anti *HOXA1* and anti *Ki-67* polyclonal antibodies (Abcam UK). Abcam expose mouse and rabbit HRP/DAB immunohistochemical detection kit (Catalog No. ab80436, Cambridge, UK) was used.

## RESULTS

Clinicopathological Findings of OSCC cases were designed as follows: Most of the cases 21 (70%) aged were above 50 years and the majority of the cases were males 21 (70 %). The most common site was the tongue 11 cases (36.7%) and most of the cases were presented as mass 22 cases (73.3%).

Histopathological examination showed that 13 cases of OSCC (43.3%) were moderately differentiated, followed by 12 cases (40%) well differentiated and 5 cases (16.7%) were poorly differentiated as shown in table (1). Immunohistochemical staining with *HOXA1* primary antibody showed that *HOXA1* expression was positive in all examined OSCC

specimens and was observed as a nuclear stain restricted to the basal and suprabasal layers in healthy mucosae figures (1), whereas a broad positivity with variable distribution and intensity was found in the OSCC samples as shown in figures (2,3). score +1 was found in 26.6% (8 cases), score +2 and score +3 both found in 36.7% (11 cases) table(2) . Immunohistochemical staining with *Ki-67* primary antibody showed that *Ki-67* expression was positive in all examined OSCC specimens. *Ki-67* immunostaining as shown in figure (4) was observed as a nuclear stain restricted to the basal layer in healthy mucosae, whereas a broad positivity with variable distribution and intensity was found in the OSCC samples as shown in figures (5,6). Half of the cases (15 cases) were moderately proliferated score (++) and other (15 cases) were highly proliferated score (+++). Regarding correlation of two markers with the clinico- pathological findings of OSCC cases reveal that There was no significant correlation of these two markers with the clinico- pathological findings (age, sex, tumor site, clinical presentation and histopathological grades).

Concerning Correlation between *HOXA1* and *Ki-67* expression score Table (3), result of present study revealed statistically significant positive correlation with P value = 0.027.

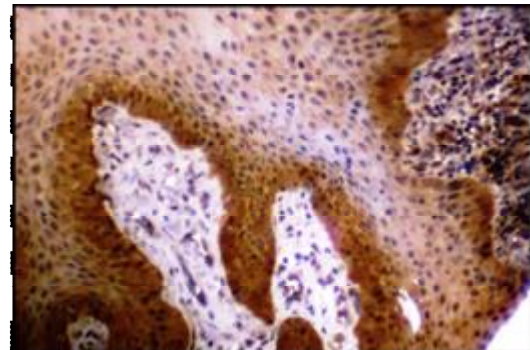


Figure 1: *HOXA1* Expression in normal oral mucosa (10X).

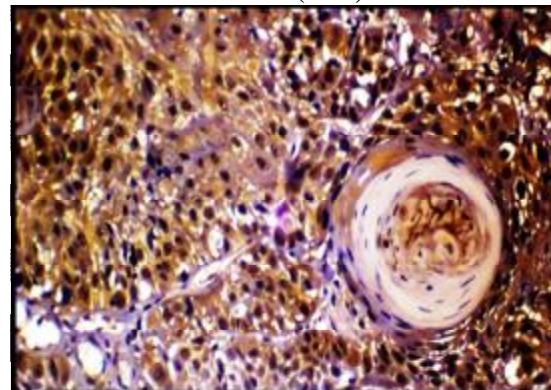


Figure 2: Positive expression of *HOXA1* in well differentiated OSCC (10X).

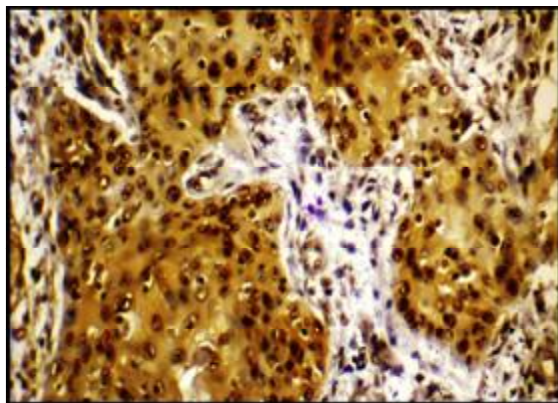


**Table 1: Clinico-pathological characteristics of 30 OSCC cases**

	Frequency	Percent %
<b>Age</b>		
>50	21	70
24-50	9	30
<b>Sex</b>		
Male	21	70
Female	9	30
<b>Tumor site</b>		
Tongue	11	36.7
Maxilla	7	23.2
Mandibul	6	20
floor of mouth	2	6.7
buccal mucosa	2	6.7
Lip	2	6.7
<b>Histological Grading</b>		
Well	12	40
Moderate	13	43.3
Poor	5	16.7
<b>Clinical Presentation</b>		
Mass	22	73.3
Ulcer	6	20
White lesion	2	6.7

**Table 2: HOXA1 expression in 30 cases of OSCC**

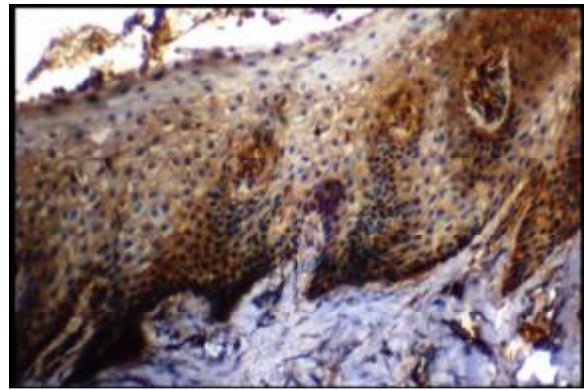
HOXA1 score	Frequency	Percent
Score 1+	8	26.6%
Score 2+	11	36.7%
Score 3+	11	36.7%
<b>Total</b>	<b>30</b>	<b>100%</b>



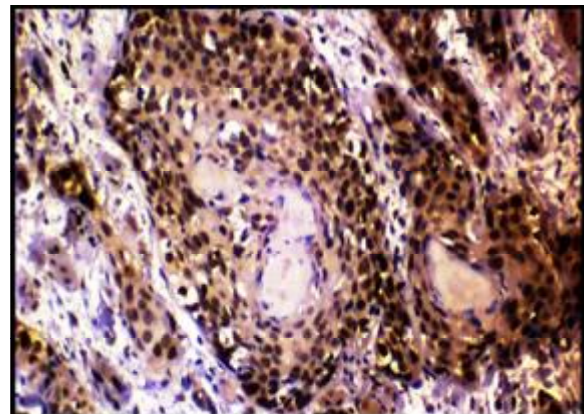
**Figure 3: Positive expression of HOXA1 in moderate differentiated OSCC (10X).**

**Table 3: Correlation between HOXA1 and Ki-67 expression in OSCC.**

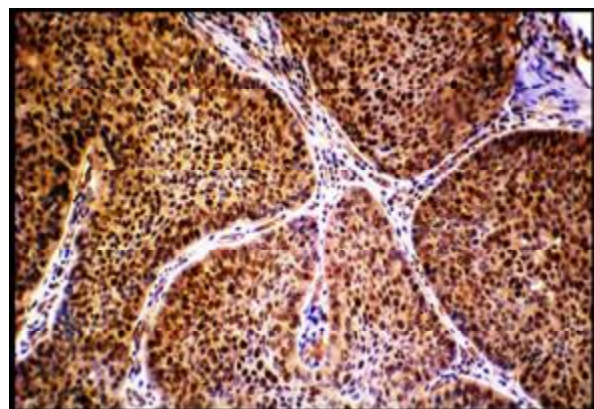
HOXA1 score	Ki-67 score		Total		X <sup>2</sup>	Sig.
	++	+++	N	%		
1+	5	3	8	26.6	7.227	0.027 (S)
2+	8	3	11	36.7		
3+	2	9	11	36.7		
Total	15	15	30	100		



**Figure 4: Ki-67 Expression in normal oral mucosa (10X).**



**Figure 5: Positive expression of Ki-67 in well differentiated OSCC (10X).**



**Figure 6: Positive expression of Ki-67 in moderately differentiated OSCC (10X).**

**DISCUSSION**

Concerning the epidemiological parameters, including age, sex, site, clinical presentation, studies showed variable results; these inconsistent findings among different studies could be credit with the fact that the current study and some of the others are not an epidemiological type of studies, therefore the limited number and the random selection of the cases according to what is available preclude for definitive clinical findings.

### Assessment of HOXA1 Immunohistochemistry

Immunoreactivity for *HOXA1* was observed as nuclear stain. Positive *HOXA1* expression was observed in all the studied cases of OSCC this finding was agreed with previous study<sup>(8)</sup> which was similar to this study. cytoplasmic staining was also observed in some cases with nuclear stain, this explain by interaction between *HOXA1* with numerous protein and transcription factors which was present primarily in the cytoplasm and involved in critical developmental process and then upon activation translocate to the nucleus to perform their function this interaction improved by study<sup>(9)</sup>. In normal oral mucosa, immuno staining was restricted to the basal and suprabasal layers only due to the fact that squamous epithelium keeps a continuous physiological regeneration in normal conditions, while broad positivity with variable distribution in OSCC sample, the intensity of *HOXA1* expression was found beyond basal localization suggests that a correlation between *HOXA1* expression and tumor progression may exist. Few studies<sup>(8)</sup> concerned *HOXA1* expression in OSCC which may be due to the fact that recently more attention has been paid to study this genes and To our knowledgethis study is the first study in Iraq which demonstrates the *HOXA1* expression in OSCC particularly or other cancer.

However, many other studies<sup>(9-12)</sup> show expression of various members of *HOX* gene family in OSCC. Furthermore, aberrant expression of numerous *HOX* genes has been reported in various malignancies such as hematological malignancies<sup>(10)</sup> and variety of other solid tumors<sup>(13-15)</sup>

Regarding Correlation of *HOXA1* expression with clinic-pathological parameters; this study revealed that *HOXA1* expression was not correlated with age, sex, clinical presentation and location of tumor. This finding was in agreement with previous study concerning OSCC<sup>(8)</sup>, a non-significant correlation also was found concerning *HOXA1* expression and different tumor grades, opposite results were found by previous study<sup>(8)</sup>

### Assessment of Ki-67 immunohistochemistry:

Cell proliferation is a biological process of vital importance and this control is lost in cancer<sup>(16)</sup>. Therefore, the knowledge of cellular proteins that control cell proliferation is essential for understanding tumor biology<sup>(17,18)</sup>. *Ki-67* antigen is a specific marker of proliferating cells<sup>(19)</sup>. The IHC reactivity for NF K $\beta$  p65 was evaluated on the basis of presence or absence of brown nuclear and cytoplasmic staining (20) This study showed positive nuclear staining of *Ki-67* antigen in all

OSCC cases and in all layers, whereas in normal oral mucosa positive *Ki-67* immunoreactivity was seen in the basal cell layer only (5). In addition, half of the positive cases showed high expression score and other half showed moderate expression score. Regarding the correlation of *Ki-67* positive expression with age the results of the present study showed statistically non-significant correlation in *Ki-67* expression between the two age groups. This finding agreed with previous study<sup>(21)</sup> and disagreed with other<sup>(22)</sup>. Regarding the sex and sit of tumor there was statistically non-significant correlation. This is in accordance with<sup>(20-23)</sup>, Also non-significant correlation was found in *Ki-67* expression with different histopathological grades this finding was agree with some studies<sup>(1,24,25)</sup> and disagree with others<sup>(16,22,26,27)</sup>.

### Correlations between HOXA1 and Ki-67 expression in OSCC

Uncontrolled cell proliferation plays a critical role in the development of a wide variety of carcinomas. Also it includes a very important cellular event in oral carcinogenesis that can be evaluated by immunohistochemical (IHC) study of abnormalities in cell cycle- regulatory proteins expression<sup>(28-30)</sup>. Regarding the correlation between both markers, the results revealed a significant correlation between them, this finding came in accordance with the previous study<sup>(8)</sup> and therefore suggesting their important role in oral tumorigenesis through ability of *HOXA1* to stimulate cell cycle progression and hence tumor development and progression.

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