

# Self-adjoint Extensions of Schrödinger Operators with $\delta$ -magnetic Fields on Riemannian Manifolds

T. Mine

## Abstract

We consider the magnetic Schrödinger operator on a Riemannian manifold  $M$ . We assume the magnetic field is given by the sum of a regular field and the Dirac  $\delta$  measures supported on a discrete set  $\Gamma$  in  $M$ . We give a complete characterization of the self-adjoint extensions of the minimal operator, in terms of the boundary conditions. The result is an extension of the former results by Dabrowski-Šťovíček and Exner-Šťovíček-Vytřas.

**Keywords:** Spectral theory, functional analysis, self-adjointness, Aharonov-Bohm effect, quantum mechanics, differential geometry, Schrödinger operator.

## 1 Introduction

Let  $(M, g)$  be a two-dimensional, oriented, connected complete  $C^\infty$ -Riemannian manifold, where  $g$  is the Riemannian metric on  $M$ . Let  $d\mu$  be the measure induced from the Riemannian metric. If we take a local chart  $(U, \varphi)$ ,  $\varphi = (x^1, x^2)$ , the measure  $d\mu$  is written as  $d\mu = \sqrt{G}dx^1dx^2$  in  $U$ , where  $G = \det(g_{mn})$ ,  $g_{mn} = g(\partial_m, \partial_n)$ , and  $\partial_m = \partial/\partial x^m$ . We denote  $L^2(M) = L^2(M; d\mu)$ . The set of all 1-forms on  $M$  is denoted by  $\Lambda^1(M)$ . In the coordinate neighborhood  $U$ ,  $A \in \Lambda^1(M)$  is written as

$$A = A_1dx^1 + A_2dx^2.$$

In general, the coefficients  $A_1, A_2$  are complex-valued. We say  $A$  is real-valued if the coefficients are real-valued. We say  $A$  is of the class  $C^k\Lambda^1(M)$  if the coefficients are of the class  $C^k(U)$  for any local chart  $(U, \varphi)$ . We define the class  $L^q_{\text{loc}}\Lambda^1(M)$  ( $1 \leq q \leq \infty$ )<sup>1</sup>, etc. similarly. The 2-form  $dA$  is called the magnetic field. If  $A \in L^1_{\text{loc}}\Lambda^1(M)$ ,  $dA$  can be defined at least in the distribution sense. In  $U$ , the magnetic field is given by

$$dA = (\partial_1A_2 - \partial_2A_1)dx^1 \wedge dx^2.$$

Let  $\Gamma = \{\gamma_k\}_{k=1}^K$  be a sequence of mutually distinct points in  $M$ . The number  $K$  may be infinity, and in this case we assume additionally  $\Gamma$  has no accumulation points in  $M$ . Let  $A$  be a 1-form on  $M$  given by the sum of two 1-forms

**(A)**  $A = A^{(0)} + A^{(1)}$ .

The part  $A^{(0)}$  corresponds to the  $\delta$  magnetic fields, that is, we assume the following.

**(A0)**  $A^{(0)} \in C^\infty\Lambda^1(M \setminus \Gamma) \cap L^1_{\text{loc}}\Lambda^1(M)$ , real-valued, and

$$dA^{(0)} = \sum_{k=1}^K 2\pi\alpha_k\delta_{\gamma_k}, \tag{1}$$

where  $\alpha_k \in \mathbb{R}$ , and  $\delta_\gamma$  is the Dirac measure concentrated on the point  $\gamma$ .

More precisely, (1) means

$$-\int_M d\varphi \wedge A^{(0)} = \sum_{k=1}^K 2\pi\alpha_k\varphi(\gamma_k),$$

for any  $\varphi \in C^\infty_0(M)$  (since  $A^{(0)} \in L^1_{\text{loc}}\Lambda^1(M)$ , the left hand side is well-defined). Notice that this equation is independent of the Riemannian metric  $g$ . For the regular part  $A^{(1)}$  and the scalar potential  $V$ , we assume the following:

**(A1)**  $A^{(1)} \in C^1\Lambda^1(M)$ , real-valued.

**(V)**  $V$  is real-valued,  $V \in L^2_{\text{loc}}(M)$ , and is bounded in some open neighborhood of  $\gamma_k$  for every  $k = 1, \dots, K$ .

Using the local coordinate  $(x^1, x^2)$ , we define the Schrödinger operator  $\mathcal{L}$  in each coordinate neighborhood by

$$\mathcal{L}u = -\frac{1}{\sqrt{G}} \sum_{m,n=1,2} (\partial_m + iA_m) \cdot (\sqrt{G}g^{mn}(\partial_n + iA_n)u) + Vu,$$

<sup>1</sup>The measure  $d\mu$  is omitted, since the class  $L^q_{\text{loc}}\Lambda^1(M; d\mu)$  is independent of the choice of  $d\mu$ . The coefficient  $A_m$  is a function on  $U \subset M$ , however, we denote the pull-back  $(\varphi^{-1})^*A_m = A_m \circ \varphi^{-1}$  on  $\varphi(U) \subset \mathbb{R}^2$  by the same symbol  $A_m$ , for simplicity of notations. This convention is frequently used in this paper.

where  $(g^{mn})$  is the inverse matrix of  $(g_{mn})$ . This definition is independent of the choice of local coordinates (see section 2). Define the minimal operator  $H_{\min}$  by

$$H_{\min}u = \mathcal{L}u, \quad D(H_{\min}) = \overline{C_0^\infty(M \setminus \Gamma)},$$

where the overline denotes the closure with respect to the graph norm. Define the maximal operator  $H_{\max}$  by  $H_{\max} = H_{\min}^*$ . Then we can show that

$$H_{\max}u = \mathcal{L}u, \\ D(H_{\max}) = \{u \in L^2(M) \mid \mathcal{L}u \in L^2(M)\},$$

where  $\mathcal{L}$  is a differential operator on  $\mathcal{D}'(M \setminus \Gamma)$ . We assume

**(SB)** The operator  $H_{\min}$  is bounded from below.

In the case  $M$  is the flat Euclidean plane, it is well-known that the operator  $H_{\min}$  is *not* essentially self-adjoint and the structure of the self-adjoint extensions of  $H_{\min}$  can be determined via the celebrated Krein-Von Neumann theory of self-adjoint extensions (see e.g. Reed-Simon [13]). In the textbook by Albeverio et al. [3], the case  $A^{(0)} = A^{(1)} = 0$  and  $V = 0$  (but  $\Gamma \neq \emptyset$ ) is exhaustively studied. Adami-Teta [1] and Dabrowski-Šťovíček [7] study the case  $K = 1$ ,  $\alpha_1 \notin \mathbb{Z}$ ,  $A^{(1)} = 0$ , and  $V = 0$ . Exner-Šťovíček-Vytřas [8] study the case  $K = 1$ ,  $\alpha_1 \notin \mathbb{Z}$ ,  $dA^{(1)} = Bdx^1 \wedge dx^2$  for some non-zero constant  $B$  (the constant magnetic field), and  $V = 0$ . Moreover, Lisovsky [11] studies the case  $M$  is the Poincaré disk,  $g$  is the Poincaré metric,  $V = 0$  and  $dA = B\omega_g + 2\pi\alpha\delta_0$ , where  $B$  is a non-zero constant and  $\omega_g$  is the surface form induced from the Poincaré metric  $g$ .

In all the results above, they first determine the deficiency subspaces  $\text{Ker}(H_{\max} \mp i)$  and apply the Krein-Von Neumann theory. This method cannot be applied in the case  $K \geq 2$  and  $\alpha_k \notin \mathbb{Z}$ , however, this case (and  $A^{(1)}$  is the constant field,  $V = 0$ ) on the flat Euclidean plane is studied by the author [12], and the structure of the self-adjoint extensions is determined. Our main purpose in this paper is to generalize the result in [12] on general complete Riemannian manifolds and for more general  $A$  and  $V$ .

Our first result is about the deficiency indices  $n_\pm(H_{\min}) = \dim \text{Ker}(H_{\max} \mp i)$ .

**Theorem 1.1** *Assume  $(A)$ ,  $(A0)$ ,  $(A1)$ ,  $(V)$ , and  $(SB)$ . Then, both deficiency indices  $n_\pm(H_{\min})$  are equal to  $2K_1 + K_2$ , where*

$$K_1 = \#\{\alpha_k \mid \alpha_k \notin \mathbb{Z}\}, \quad K_2 = \#\{\alpha_k \mid \alpha_k \in \mathbb{Z}\}.$$

Note that Bulla-Gesztesy [4] obtain a similar result in the case  $A = 0$  and  $V$  has singularities, and Iwai-Yabu [9] also obtain a similar result on the two-dimensional torus.

Next, we shall give a complete characterization of the self-adjoint extensions of  $H_{\min}$ . To this purpose, we introduce some nice coordinates around singularities and some auxiliary functions. For simplicity, we assume  $K = \#\Gamma$  is finite for a while.

For  $k = 1, \dots, K$ , let  $(U_k, \phi_k)$ ,  $\phi_k = (x^1, x^2)$ , be a local chart around  $\gamma_k$  such that  $U_k$  is simply connected,  $\phi_k(\gamma_k) = 0$ ,  $V$  is bounded in  $U_k$ , and  $\{U_k\}_{k=1}^K$  are disjoint. Let  $(r, \theta)$  be the radial coordinate in  $U_k$  defined by  $x^1 + ix^2 = re^{i\theta}$ ,  $r \geq 0$ ,  $0 \leq \theta < 2\pi$ . We assume

$$g_{mn}(0, 0) = \delta_{mn}, \tag{2} \\ \partial_j g_{mn}(0, 0) = 0 \quad (m, n, j = 1, 2),$$

where  $\delta_{mn}$  is the Kronecker delta. Condition (2) is satisfied, for example, if we take the normal coordinate<sup>2</sup> as  $(x^1, x^2)$ .

Let  $\beta_k$  be the fractional part of  $\alpha_k$ , that is,  $\alpha_k = [\alpha_k] + \beta_k$ ,  $[\alpha_k] \in \mathbb{Z}$  and  $0 \leq \beta_k < 1$ . Put<sup>3</sup>

$$\tilde{A}^{(0)} = \beta_k r^{-2}(-x^2 dx^1 + x^1 dx^2), \\ \tilde{A}^{(1)} = A^{(1)} - A^{(1)}(0).$$

It is well-known that  $d\tilde{A}^{(0)} = 2\pi\beta_k\delta_0$  (see e.g. Aharonov-Bohm [2, 1] or [7]). Define a phase function  $\psi_k \in C^\infty(U_k \setminus \{0\})$  by

$$\psi_k(x) = \exp \frac{1}{i} \left( A_1^{(1)}(0)x^1 + A_2^{(1)}(0)x^2 + \int_{x_0}^x (A^{(0)} - \tilde{A}^{(0)}) \right), \tag{3}$$

where  $A^{(1)} = A_1^{(1)}dx^1 + A_2^{(1)}dx^2$ ,  $x_0$  is some point in  $U_k \setminus \{0\}$ , and the path of the line integral  $\int_{x_0}^x$  lies in  $U_k \setminus \{0\}$ . Notice that the value of the line integral is independent of the choice of paths modulo  $2\pi\mathbb{Z}$ , by the Stokes theorem and the assumption  $d(A^{(0)} - \tilde{A}^{(0)}) = 2\pi[\alpha_k]\delta_0$  in  $U_k$ . Then we have

$$A = \tilde{A} + i\psi_k^{-1}d\psi_k, \quad \tilde{A} = \tilde{A}^{(0)} + \tilde{A}^{(1)} \tag{4}$$

and

$$\mathcal{L} = \psi_k \tilde{\mathcal{L}} \psi_k^{-1} \tag{5}$$

in  $U_k \setminus \{0\}$ , where  $\tilde{\mathcal{L}}$  is the operator  $\mathcal{L}$  corresponding to the vector potential  $\tilde{A}$  and the scalar potential  $V$ .

Let  $K_1, K_2$  be the numbers in Theorem 1.1. In the sequel, we rearrange the index  $k$  so that  $0 < \beta_k < 1$  for  $1 \leq k \leq K_1$ . As we prove later, the

<sup>2</sup>The coordinate defined by the local inverse map of the exponential map from the tangent space at  $\gamma_k$  to  $M$ .

<sup>3</sup>More precisely, the 1-form  $A^{(1)} - A^{(1)}(0)$  is defined as  $(A_1^{(1)}(x^1, x^2) - A_1^{(1)}(0, 0))dx^1 + (A_2^{(1)}(x^1, x^2) - A_2^{(1)}(0, 0))dx^2$ , in the coordinate neighborhood  $U_k$ .

asymptotics of  $u \in D(H_{\max})$  in  $U_k$  as  $r \rightarrow 0$  is given by

$$u = \begin{cases} \psi_k(c_1^k r^{\beta_k-1} e^{-i\theta} + c_2^k r^{-\beta_k} + \\ c_4^k r^{1-\beta_k} e^{-i\theta} + c_5^k r^{\beta_k}) + \xi & (1 \leq k \leq K_1), \\ \psi_k(c_3^k \log r + c_6^k) + \xi & (K_1 + 1 \leq k \leq K), \end{cases}$$

where  $c_1^k, \dots, c_6^k$  are constants and  $\xi$  is a regular function in the sense  $\xi \in D(H_{\min})$ . Define

$$\Phi_j(u) = \begin{cases} {}^t(c_j^1, \dots, c_j^{K_1}) \in \mathbb{C}^{K_1} & (j = 1, 2, 4, 5), \\ {}^t(c_j^{K_1+1}, \dots, c_j^K) \in \mathbb{C}^{K_2} & (j = 3, 6), \end{cases}$$

$$\Phi(u) = {}^t(\Phi_1(u) \dots \Phi_6(u)) \in \mathbb{C}^{4K_1+2K_2}.$$

Define a  $(2K_1 + K_2) \times (2K_1 + K_2)$ -diagonal matrix  $D$  by

$$D = \text{diag}(1 - \beta_1, \dots, 1 - \beta_{K_1}, \beta_1, \dots, \beta_{K_1}, -1/2, \dots, -1/2). \tag{6}$$

Now our theorem is stated as follows.

**Theorem 1.2** *Assume (A), (A0), (A1), (V), (SB) and  $K < \infty$ . Let  $\Phi(u)$ ,  $D$  given above.*

(i) *Let  $X = \begin{pmatrix} X_1 \\ X_2 \end{pmatrix}$ , where  $X_1, X_2$  are  $(2K_1 + K_2) \times (2K_1 + K_2)$  matrices satisfying*

$$\text{rank } X = 2K_1 + K_2, \quad X_1^*DX_2 = X_2^*DX_1. \tag{7}$$

*Then, the operator  $H_X$  defined by*

$$H_X u = \mathcal{L}u, \\ D(H_X) = \{u \in D(H_{\max}) \mid \Phi(u) \in \text{Ran } X\}$$

*is a self-adjoint extension of  $H_{\min}$ .*

(ii) *For any self-adjoint extension  $H$  of  $H_{\min}$ , there exists some matrix  $X$  satisfying (7) and  $H = H_X$ .*

We can consider the case  $K = \infty$ , but some technical assumptions are necessary. We shall argue this case in section 5.

Thus we can characterize the self-adjoint extensions in terms of the boundary conditions. We can easily prove that the Friedrichs extension corresponds to the case  $X_1 = O, X_2 = Id$ . In the case  $M = \mathbb{R}^2$  and  $K = 1$ , similar results are obtained in [7] and [8], and our theorem is a generalization of their results. As stated in their paper, the choice of matrices  $X$  is of course not unique: there are infinitely many matrices  $X$  giving same  $\text{Ran } X$ .

The difficulty in the proof is that we cannot determine the deficiency subspaces explicitly. To overcome this difficulty, we describe the condition of the self-adjointness only using the quotient subspace

$D(H_{\max})/D(H_{\min})$ . This quotient subspace is essentially the same object as the sum of deficiency subspaces, but much easily tractable than the deficiency subspaces themselves. This idea is also used in [4] or [12].

We note that recently self-adjoint extensions of the Schrödinger operators on  $\mathbb{R}^2$  with  $\delta$  magnetic fields are studied from the viewpoint of the hidden supersymmetric structure; see Correa et al. [5, 6].

The rest of the paper is organized as follows. In section 2, we review basic notations and facts from the differential geometry and the theory of self-adjoint extensions. In section 3, we shall prove the structure of the self-adjoint extensions depends only on the singular part of the vector potentials. In section 4, we shall prove the main theorems. In section 5, we shall consider the case  $K = \infty$  and give a complete characterization of the self-adjoint extensions, under some homogeneity conditions.

## 2 Basic facts

### 2.1 Formulas in differential geometry

We quote some formulas used in Shubin [14] for the convenience of the readers. Take a local chart  $(U, \varphi)$ ,  $\varphi = (x^1, x^2)$ , around  $p \in M$ . Put  $g_{mn} = g(\partial_m, \partial_n)$ , and let  $(g^{mn})$  be the inverse matrix of  $(g_{mn})$ . For  $\alpha, \beta \in \Lambda_p^1(M)$  (the cotangent space at  $p$ ), we define the scalar product

$$\langle \alpha, \beta \rangle = \sum_{m,n=1,2} g^{mn} \alpha_m \beta_n,$$

where  $\alpha = \alpha_1 dx^1 + \alpha_2 dx^2$  and  $\beta = \beta_1 dx^1 + \beta_2 dx^2$ . Put  $|\alpha|^2 = \langle \bar{\alpha}, \alpha \rangle$ , where  $\bar{\alpha} = \bar{\alpha}_1 dx^1 + \bar{\alpha}_2 dx^2$ . For a 1-form  $\omega = \omega_1 dx^1 + \omega_2 dx^2$ , we define a function  $d^*\omega$  by

$$d^*\omega = -\frac{1}{\sqrt{G}} \sum_{m,n=1,2} \partial_m (\sqrt{G} g^{mn} \omega_n).$$

This definition is independent of the choice of local coordinates. Actually, operator  $d^*$  is characterized by the following relation:

$$\int_M \langle \bar{d}u, \omega \rangle d\mu = \int_M \bar{u} d^*\omega d\mu$$

for any  $u \in C_0^\infty(M)$  and  $\omega \in C_0^\infty \Lambda^1(M)$ .

Let  $A$  be a 1-form satisfying our assumptions. For a function  $f$ , we define a 1-form  $d_A f$  by

$$d_A f = df + i f A,$$

where  $d$  is the exterior derivative, and  $i = \sqrt{-1}$ . For a 1-form  $\omega$ , we define

$$d_A^* \omega = d^* \omega - i A^* \omega, \quad A^* \omega = \langle A, \omega \rangle.$$

Then we obtain a representation of our Schrödinger operator  $\mathcal{L}$  independent of local coordinates:

$$\mathcal{L} = d_A^* d_A + V.$$

For operator  $d_A^*$ , the following Leibniz formulas hold: for an appropriate function  $f$  and 1-form  $\omega$ , we have

$$d_A^*(f\omega) = fd_A^*\omega - \langle df, \omega \rangle - if\langle A, \omega \rangle = fd_A^*\omega - \langle df, \omega \rangle = fd_A^*\omega - \langle d_A f, \omega \rangle, \tag{8}$$

$$d_A^* d_A(fg) = fd_A^* d_A g - 2\langle df, d_A g \rangle + gd_A^* df. \tag{9}$$

**Proposition 2.1** *Let  $U, U'$  be open subsets of  $M \setminus \Gamma$  such that  $\bar{U}$  is a compact subset of  $U'$ , and  $V$  is bounded in  $U'$ . Then, there exists a constant  $C > 0$  such that*

$$\int_U |d_A f|^2 d\mu \leq C \int_{U'} (|f|^2 + |\mathcal{L}f|^2) d\mu \tag{10}$$

for  $f \in D(H_{\max})$ .

**Proof.** According to [14, (5.3)],<sup>4</sup> we have

$$(\mathcal{L}(\phi f), \phi f) = \Re(\phi \mathcal{L}f, \phi f) + \int_M |d\phi|^2 |f|^2 d\mu$$

for  $f \in D(H_{\max})$  and  $\phi \in C_0^\infty(M \setminus \Gamma)$ . Take  $\phi \in C_0^\infty(U')$  such that  $\phi = 1$  on  $U$ . Then the conclusion follows from the above equality,

$$\int_{\text{supp } \phi} |d_A(\phi f)|^2 = (\mathcal{L}(\phi f), \phi f) - (V\phi f, \phi f)$$

and assumption  $V$  is bounded.  $\square$

## 2.2 Theory of self-adjoint extensions

We quote some notation from the textbook [13]. Let  $\mathcal{H}$  be a separable Hilbert space and denote its inner product by  $(\cdot, \cdot)$ , and norm by  $\|\cdot\|$ . All the linear operators in this subsection are on the Hilbert space  $\mathcal{H}$ . For a linear operator  $X$ ,  $D(X)$  denotes the domain of definition of  $X$ ,  $\bar{X}$  the closure of  $X$ ,  $X^*$  the adjoint operator of  $X$ . For a linear operator  $X$ , the graph inner product of  $X$  is defined by

$$(x, y)_X = (Xx, Xy) + (x, y)$$

for  $x, y \in D(X)$ , and the graph norm by  $\|x\|_X = (x, x)_X^{1/2}$ .

We introduce some equivalent for the sum of the deficiency subspaces, which is also introduced in [4] or [12]. Let  $X$  be a closed, densely defined symmetric operator. Let  $\mathcal{D} = D(X^*)/D(X)$ , where the right hand side denotes the quotient space. The space  $\mathcal{D}$  is a Hilbert space equipped with the norm

$$\|[x]\|_{\mathcal{D}}^2 = \min_{y \in [x]} \|y\|_{X^*}^2 = \|Qx\|_{X^*}^2,$$

where  $x \in D(X^*)$ ,  $[x] = x + D(X)$  denotes the equivalence class of  $x$  in the quotient space  $D(X^*)/D(X)$ , and  $Q$  denotes the orthogonal projection onto the orthogonal complement of  $D(X)$  in  $D(X^*)$ . For  $u, v \in \mathcal{D}$ , define

$$[u, v]_{\mathcal{D}} = (X^*x, y) - (x, X^*y), \\ u = [x], v = [y], x, y \in D(X^*).$$

The value  $[u, v]_{\mathcal{D}}$  is independent of the choice of the representatives  $x, y$ . Let  $P$  be the canonical projection from  $D(X^*)$  to  $\mathcal{D}$ . For a closed subspace  $V$  of  $\mathcal{D}$ , we define a closed linear operator  $X_V$  by

$$D(X_V) = \{x \in D(X^*) \mid Px \in V\}, \quad X_V x = X^*x.$$

We also define

$$V^{[\perp]} = \{u \in \mathcal{D} \mid [u, v]_{\mathcal{D}} = 0 \text{ for any } v \in V\}.$$

Then the following proposition immediately follows from the definition of the self-adjointness.

**Proposition 2.2** *1. For a closed subspace  $V$  of  $\mathcal{D}$ , the operator  $X_V$  is a self-adjoint extension of  $X$  if and only if*

$$V^{[\perp]} = V. \tag{11}$$

*2. For any self-adjoint extension  $\tilde{X}$  of  $X$ , there exists a closed subspace  $V$  of  $\mathcal{D}$  such that  $X_V = \tilde{X}$ .*

In terms of the above notations, the Krein-Von Neumann theory can be rephrased as follows.

**Proposition 2.3** *Let  $\mathcal{N}_{\pm} = \text{Ker}(X^* \mp i)$  the deficiency subspaces of  $X$ ,  $n_{\pm} = \dim \mathcal{N}_{\pm}$  the deficiency indices of  $X$ . Then, the following holds.*

- (i) *The projection operator  $P$  gives a Hilbert space isomorphism from the direct sum  $\mathcal{N}_+ \oplus \mathcal{N}_-$  to  $\mathcal{D}$ . In particular,  $\dim \mathcal{D} = n_+ + n_-$ .*
- (ii) *There exists a one-to-one correspondence between the closed subspaces  $V$  of  $\mathcal{D}$  satisfying (11) and the unitary operators  $U$  from  $\mathcal{H}_+$  to  $\mathcal{H}_-$ , given by*

$$V = P(1 + U)\mathcal{H}_+.$$

This proposition says the space  $\mathcal{D}$  can play the same role as the sum of deficiency subspaces in the theory of self-adjoint extensions. Particularly when  $\mathcal{N}_{\pm}$  is difficult to determine explicitly (as in our case), the space  $\mathcal{D}$  is more tractable, since the element of this space has ambiguity by  $D(X)$ . Actually, in the next section we shall see that the structure of  $\mathcal{D}$  for our Schrödinger operator  $H_{\min}$  and the form  $[\cdot, \cdot]_{\mathcal{D}}$  is determined only from the singular part  $A^{(0)}$  of the vector potential.

<sup>4</sup>Since the function  $\phi$  avoids the singularities, the proof of [14, (5.3)] is also available in our case.

### 3 Reduction

#### 3.1 Division to the local potential

Let  $(U_k, \phi_k)$ ,  $\phi_k = (x^1, x^2)$ , the local coordinate introduced in section 1. Let  $\tilde{A}$  be the 1-form given by (4). Take a positive number  $\epsilon_k$  so small that the closed disc  $\{r \leq 2\epsilon_k\}$  is contained in  $U_k$ . Let  $\eta_k \in C_0^\infty(U)$  such that  $0 \leq \eta_k \leq 1$ ,  $\eta_k = 1$  for  $r \leq \epsilon_k$ ,  $\eta_k = 0$  for  $r \geq 2\epsilon_k$ . Define functions  $\hat{g}_{mn}$ ,  $\hat{A}_m$  and  $\hat{V}$  on  $\mathbb{R}^2$  by

$$\begin{aligned} \hat{g}_{mn} &= \eta_k g_{mn} + (1 - \eta_k) \delta_{mn}, \\ \hat{A}_m &= \tilde{A}_m^{(0)} + \eta_k \tilde{A}_m^{(1)}, \\ \hat{V} &= \eta_k V. \end{aligned}$$

Define a differential operator  $\mathcal{L}_k$  on  $\mathbb{R}^2$  by

$$\begin{aligned} \mathcal{L}_k &= -\frac{1}{\sqrt{\hat{G}}} \sum_{m,n=1,2} \left( \frac{\partial}{\partial x_m} + i \hat{A}_m \right) \cdot \\ &\quad \sqrt{\hat{G}} \hat{g}^{mn} \left( \frac{\partial}{\partial x_n} + i \hat{A}_n \right) + \hat{V}, \end{aligned}$$

where  $\hat{G} = \det(\hat{g}_{mn})$ , and  $(\hat{g}^{mn})$  is the inverse matrix of  $(\hat{g}_{mn})$ . Define a linear operator  $L_{k,\min}$  on  $L^2(\mathbb{R}^2; d\mu_k)$ ,  $d\mu_k = \sqrt{\hat{G}} dx^1 dx^2$ , by

$$L_{k,\min} u = \mathcal{L}_k u, \quad D(L_{k,\min}) = \overline{C_0^\infty(\mathbb{R}^2 \setminus \{0\})}.$$

Let  $L_{k,\max} = L_{k,\min}^*$ . Then

$$\begin{aligned} L_{k,\max} u &= \mathcal{L}_k u, \\ D(L_{k,\max}) &= \{u \in L^2(\mathbb{R}^2; d\mu_k) \mid \mathcal{L}_k u \in L^2(\mathbb{R}^2; d\mu_k)\}, \end{aligned}$$

where  $\mathcal{L}_k$  is regarded as a differential operator on  $\mathcal{D}'(\mathbb{R}^2 \setminus 0)$ . Let  $\mathcal{D} = D(H_{\max})/D(H_{\min})$ ,  $\mathcal{D}_k = D(L_{k,\max})/D(L_{k,\min})$ . Let  $\chi_k \in C_0^\infty(M)$  such that  $0 \leq \chi_k \leq 1$ ,  $\chi_k = 0$  for  $r \geq \epsilon_k$  and  $\chi_k = 1$  for  $r \leq \epsilon_k/2$ . Define a map  $T_k$  from  $\mathcal{D}$  to  $\mathcal{D}_k$  by

$$T_k[f] = [\psi_k^{-1} \chi_k f],$$

where the function  $\psi_k$  is given by (3). Define a map

$T$  from  $\mathcal{D}$  to the direct sum  $\bigoplus_{k=1}^K \mathcal{D}_k$  by

$$T[f] = \bigoplus_{k=1}^k T_k[f].$$

We also define a map  $S$  from  $\bigoplus_{k=1}^K \mathcal{D}_k$  to  $\mathcal{D}$  by<sup>5</sup>

$$S \bigoplus_{k=1}^K [f_k] = \left[ \sum_{k=1}^K \psi_k \chi_k f_k \right].$$

In the sequel, we sometimes write  $[f, g]_{\mathcal{D}} = [[f], [g]]_{\mathcal{D}}$  etc. for simplicity of notations.

**Lemma 3.1** 1. Assume  $K < \infty$ . Then, the maps  $S, T$  defined above are well-defined and mutually inverse. Moreover, we have

$$[f, g]_{\mathcal{D}} = \sum_{k=1}^k [T_k[f], T_k[g]]_{\mathcal{D}_k} \quad (12)$$

for any  $[f], [g] \in \mathcal{D}$ .

2. Assume  $K = \infty$ . Then the map  $S$  is well-defined and injective.

**Proof.** (i) We divide the proof into three steps.

**Step 1.** The map

$$D(H_{\max}) \ni f \mapsto \psi_k^{-1} \chi_k f \in D(L_{k,\max})$$

is well-defined and continuous.

**Proof.** Clearly  $\psi_k^{-1} \chi_k f \in L^2(\mathbb{R}^2; d\mu_k)$ , so it suffices to show that  $\mathcal{L}_k(\psi_k^{-1} \chi_k f) \in L^2(\mathbb{R}^2; d\mu_k)$ . By (5) and the Leibniz rule (9), we have

$$\begin{aligned} \mathcal{L}_k(\psi_k^{-1} \chi_k f) &= \psi_k^{-1} \mathcal{L}(\chi_k f) = \\ &= \psi_k^{-1} (\chi_k \mathcal{L} f - 2 \langle d\chi_k, d_A f \rangle + (d^* d \chi_k) f). \end{aligned}$$

The first term and the third in the parenthesis of the right hand side are in  $L^2(\mathbb{R}^2; d\mu_k)$  and continuous with respect to  $\|\cdot\|_{H_{\max}}$ . Moreover, we can prove the second term is also in  $L^2$  and continuous with respect to  $\|\cdot\|_{H_{\max}}$  by using (10).  $\square$

**Step 2.** Let  $f \in D(H_{\min})$ . Then, we have  $\psi_k^{-1} \chi_k f \in D(L_{k,\min})$ .

**Proof.** By definition, there exists a sequence  $\{f_n\}_{n=1}^\infty \subset C_0^\infty(M \setminus \Gamma)$  such that  $f_n \rightarrow f$  in  $D(H_{\min})$ . Then,  $\psi_k^{-1} \chi_k f_n \in C_0^\infty(\mathbb{R}^2 \setminus \{0\})$  and  $\psi_k^{-1} \chi_k f_n \rightarrow \psi_k^{-1} \chi_k f$  in  $D(L_{k,\max})$ , by Step 1. Since  $D(L_{k,\min})$  is a closed subspace of  $D(L_{k,\max})$ , we have the conclusion.  $\square$

Step 1 and 2 imply the map  $T$  is well-defined. We can similarly prove that the map  $S$  is also well-defined.

**Step 3.** The operator  $ST$  is the identity map on  $\mathcal{D}$ .

**Proof.** By definition, we have

$$(I - ST)[f] = [\psi f], \quad \psi = 1 - \sum_{k=1}^K \chi_k^2.$$

So it suffices to prove that  $g = \psi f \in D(H_{\min})$ .

<sup>5</sup>When  $K = \infty$ , we define the map  $S$  for the elements of  $\bigoplus_{k=1}^\infty \mathcal{D}_k$  having only finite nonzero components  $[f_k]$ . So there is no difficulty in the definition of  $S$ .

Let  $(r, \theta)$  be the radial coordinate in  $U_k$  and put  $B_{k,\epsilon} = \{x \in U_k \mid r < \epsilon\}$ . Then we have  $\text{supp } \psi \subset M \setminus \bigcup_{k=1}^K B_{k,\epsilon_k/2}$ . For  $c > 0$ , let  $\xi_c \in C^\infty(M)$

such that  $0 \leq \xi_c \leq 1$ ,  $\xi_c = 1$  in  $M \setminus \bigcup_{k=1}^K B_{\epsilon_k/c}$ ,  $\xi_c = 0$

in  $\bigcup_{k=1}^K B_{k,\epsilon_k/(2c)}$ . Let  $\mathcal{L}_0$ ,  $H_{0,\min}$  and  $H_{0,\max}$  be the operators corresponding to the potentials  $\xi_4 A$  and  $\xi_4 V$ . These potentials have no singularities, so we have  $H_{0,\min} = H_{0,\max}$  by [14]. Since  $\mathcal{L}g = \mathcal{L}_0 g \in L^2$ , we have  $g \in D(H_{0,\max}) = D(H_{0,\min})$ . Thus we can take a sequence  $\{g_n\}$  such that  $g_n \rightarrow g$  in  $\|\cdot\|_{H_{0,\min}}$ . Then  $\xi_2 g_n \in C_0^\infty(M \setminus \Gamma)$  and  $\xi_2 g_n \rightarrow \xi_2 g = g$  in  $\|\cdot\|_{H_{\min}}$ . Thus we have  $g \in D(H_{\min})$ .  $\square$

We can prove  $TS = I$  similarly. Then (12) follows from (5) and the equality  $[f, g]_{\mathcal{D}} = \sum_{k=1}^K [\chi_k f, \chi_k g]_{\mathcal{D}}$  (notice that  $f - \sum_k \chi_k f \in D(H_{\min})$  can be proved as in Step 3).

(2) Let  $K = \infty$ . For any positive integer  $n$ , we can define  $T^{(n)}$  from  $\mathcal{D}$  to  $\bigoplus_{k=1}^n \mathcal{D}_k$ , and  $S^{(n)}$  from  $\bigoplus_{k=1}^n \mathcal{D}_k$  to  $\mathcal{D}$  similarly, and prove  $T^{(n)}S^{(n)} = Id$ . This implies the map  $S$  is well-defined and injective.  $\square$

### 3.2 Analysis of operators on $\mathbb{R}^2$

We shall analyze the operator  $\mathcal{L}_k$  (or  $L_{k,\min}$ ,  $L_{k,\max}$ ) defined in the previous subsection. For simplicity of notation, we omit  $\hat{\cdot}$  and  $\tilde{\cdot}$  in the definition of  $\mathcal{L}_k$  in the sequel. Then our assumptions are the following:

1.  $\mathcal{L}_k = d_A^* d_A + V$  on  $\mathbb{R}^2 \setminus \{0\}$ ,  $A = A^{(0)} + A^{(1)}$ ,
2.  $L_{k,\min}$  and  $L_{k,\max}$  are operators on  $L^2(\mathbb{R}^2; d\mu_k)$ ,  $d\mu_k = \sqrt{G} dx^1 dx^2$ ,
3.  $A^{(0)} = \beta_k r^{-2}(-x^2 dx^1 + x^1 dx^2)$ ,  $0 \leq \beta_k < 1$ ,
4.  $A^{(1)} \in C_0^1 \Lambda^1(\{r < 2\epsilon_k\})$ , real-valued,  $A^{(1)}(0) = 0$ ,
5.  $V$  is bounded, real-valued,
6.  $g_{mn}(0) = \delta_{mn}$ ,  $\partial_j g_{mn}(0) = 0$ , and  $g_{mn} = \delta_{mn}$  for  $r \geq 2\epsilon_k$ .

We shall show that  $g_{mn}$ ,  $A^{(1)}$  and  $V$  have nothing to do with the structure of the self-adjoint extensions. To this purpose, define a differential operator  $\mathcal{M}_k$  on  $\mathbb{R}^2$  by

$$\mathcal{M}_k = - \sum_{n=1,2} \left( \frac{\partial}{\partial x_n} + iA_n \right)^2.$$

Define a linear operator  $M_{k,\min}$  on  $L^2(\mathbb{R}^2; dx^1 dx^2)$  by

$$D(M_{k,\min}) = \overline{C_0^\infty(\mathbb{R}^2 \setminus \{0\})},$$

$$M_{k,\min} u = \mathcal{M}_k u \quad \text{for } u \in D(M_{k,\min}).$$

Put  $M_{k,\max} = M_{k,\min}^*$ , and  $\mathcal{E}_k = D(M_{k,\max})/D(M_{k,\min})$ . We also define  $\mathcal{M}_k^{(0)}$ ,  $M_{k,\min}^{(0)}$ ,  $M_{k,\max}^{(0)}$  and  $\mathcal{E}_k^{(0)}$ , by replacing  $A_n$  by  $A_n^{(0)}$  in the above definition.

The operator  $\mathcal{M}_k^{(0)}$  is already studied in [1] and [7]. Here we quote their results and calculate the form  $[\cdot, \cdot]_{\mathcal{E}_k^{(0)}}$ .

**Proposition 3.2** *Let  $\chi \in C_0^\infty(\mathbb{R}^2)$  such that  $\chi = 1$  in some neighborhood of 0.*

1. *Assume  $0 < \beta_k < 1$ . Put*

$$f_k^1 = \chi e^{-i\theta} r^{\beta_k - 1}, \quad f_k^2 = \chi r^{-\beta_k},$$

$$f_k^4 = \chi e^{-i\theta} r^{1-\beta_k}, \quad f_k^5 = \chi r^{\beta_k}.$$

*Then, the deficiency indices  $n_\pm(M_{k,\min}^{(0)}) = 2$ ,  $\dim \mathcal{E}_k^{(0)} = 4$  and the vectors  $\{[f_k^n]\}_{n=1,2,4,5}$  form a basis of  $\mathcal{E}_k^{(0)}$ . Moreover, for  $m, n \in \{1, 2, 4, 5\}$  with  $m \leq n$ ,<sup>6</sup> we have*

$$[f_k^m, f_k^n]_{\mathcal{E}_k^{(0)}} = \begin{cases} 4\pi(\beta_k - 1) & \text{for } (m, n) = (1, 4), \\ -4\pi\beta_k & \text{for } (m, n) = (2, 5), \\ 0 & \text{otherwise.} \end{cases}$$

2. *Assume  $\beta_k = 0$ . Put*

$$f_k^3 = \chi \log r, \quad f_k^6 = \chi.$$

*Then, the deficiency indices  $n_\pm(M_{k,\min}^{(0)}) = 1$ ,  $\dim \mathcal{E}_k^{(0)} = 2$ ,  $\{[f_k^j]\}_{j=3,6}$  form a basis of  $\mathcal{E}_k^{(0)}$ , and*

$$[f_k^3, f_k^6]_{\mathcal{E}_k^{(0)}} = 2\pi, \quad [f_k^3, f_k^3]_{\mathcal{E}_k^{(0)}} = [f_k^6, f_k^6]_{\mathcal{E}_k^{(0)}} = 0.$$

**Proof.** (i) The first statement follows from the result in [7] or [1]. For the calculation of  $[u, v]_{\mathcal{E}_k^{(0)}}$ , we use some notation in vector analysis. We use the gradient vector  $\nabla = {}^t(\partial_1, \partial_2)$ , and identify a 1-form  $A$  with the component vector  ${}^t(A_1, A_2)$ . The dot  $\cdot$  denotes the Euclidean inner product. Then we have

$$[u, v]_{\mathcal{E}_k^{(0)}} = \lim_{\epsilon \rightarrow 0} \int_{r \geq \epsilon} \left( -v \overline{(\nabla + iA^{(0)}) \cdot (\nabla + iA^{(0)})u} + \overline{u} (\nabla + iA^{(0)}) \cdot (\nabla + iA^{(0)})v \right) dx^1 dx^2 =$$

<sup>6</sup>Notice that  $[f_k^n, f_k^m]_{\mathcal{E}_k^{(0)}} = -[f_k^m, f_k^n]_{\mathcal{E}_k^{(0)}}$  by definition.

$$\begin{aligned} & \lim_{\epsilon \rightarrow 0} \int_{r=\epsilon} (vn \cdot \overline{(\nabla + iA^{(0)})u} - \\ & \overline{vn} \cdot (\nabla + iA^{(0)})v) r \, d\theta = \\ & \lim_{\epsilon \rightarrow 0} \int_{r=\epsilon} (v\overline{\partial_r u} - \overline{v}\partial_r v) r \, d\theta, \end{aligned} \quad (13)$$

where  $n = (\cos \theta, \sin \theta)$ , and the line integral is taken counterclockwise. We used the Green formula and the fact  $n \cdot A^{(0)} = 0$ . Then we can easily prove the second statement by using (13).

(ii) The first part of the statement follows from the results in [3]. The second statement can be justified by using (13).  $\square$

Next, we prove that the regular part  $A^{(1)}$  does not affect the structure of  $\mathcal{E}_k$  and the corresponding form.

**Proposition 3.3** *All the statements of Proposition 3.2 hold even if we replace  $M_{k,\min}^{(0)}$  by  $M_{k,\min}$ , and  $\mathcal{E}_k^{(0)}$  by  $\mathcal{E}_k$ .*

Before the proof, we prepare a perturbative lemma, which is an immediate corollary of [10, Theorem IV.5.22].

**Lemma 3.4** *Let  $\mathcal{H}$  be a separable Hilbert space and  $\|\cdot\|$  its norm. Let  $X, Y$  be densely defined symmetric operators on  $\mathcal{H}$ . Assume  $D(X) \subset D(Y)$  and there exist positive constants  $C, \delta$  with  $0 < \delta < 1$  and*

$$\|Yu\| \leq \delta \|Xu\| + C\|u\|$$

for every  $u \in D(X)$ . Then, we have  $D(\overline{X+Y}) = D(\overline{X})$  and  $n_{\pm}(X+Y) = n_{\pm}(X)$ , where the overline denotes the operator closure.

*Proof of Proposition 3.3* We prove only statement (i). Statement (ii) can be proved similarly.

By the Leibniz formula (8), we have for  $u \in C_0^\infty(\mathbb{R}^2 \setminus \{0\})$

$$\begin{aligned} (\mathcal{M}_k - \mathcal{M}_k^{(0)})u &= i(d^*A^{(1)})u - \\ & 2i\langle A^{(1)}, d_{A^{(0)}}u \rangle + |A^{(1)}|^2u. \end{aligned} \quad (14)$$

We denote  $\|u\|^2 = \int_{\mathbb{R}^2} |u|^2 dx^1 dx^2$  for a function  $u$ ,

and  $\|\omega\|^2 = \int_{\mathbb{R}^2} |\omega|^2 dx^1 dx^2$  for a 1-form  $\omega$  (notice that  $|\omega|^2 = \langle \overline{\omega}, \omega \rangle$ ). We denote the essential supremum norm of  $|u|$  and  $|\omega|$  by  $\|u\|_\infty$  and  $\|\omega\|_\infty$ , respectively. Then we have by the Schwarz inequality

$$\begin{aligned} & \|(\mathcal{M}_k - \mathcal{M}_k^{(0)})u\| \leq \\ & \|d^*A^{(1)}\|_\infty \|u\| + 2\|A^{(1)}\|_\infty \|d_{A^{(0)}}u\| + \|A^{(1)}\|_\infty^2 \|u\| \leq \\ & (\|d^*A^{(1)}\|_\infty + \|A^{(1)}\|_\infty^2) \|u\| + \\ & \|A^{(1)}\|_\infty (\epsilon \|\mathcal{M}_k^{(0)}u\|^2 + \epsilon^{-1} \|u\|^2) \end{aligned}$$

for any  $\epsilon > 0$ , where we used the inequality

$$\|d_{A^{(0)}}u\| = (\mathcal{M}_k^{(0)}u, u)^{1/2} \leq$$

$$\begin{aligned} & (\epsilon \|\mathcal{M}_k^{(0)}u\|)^{1/2} (\epsilon^{-1} \|u\|)^{1/2} \leq \\ & \frac{1}{2} (\epsilon \|\mathcal{M}_k^{(0)}u\| + \epsilon^{-1} \|u\|). \end{aligned}$$

Take  $\epsilon > 0$  sufficiently small and apply Lemma 3.4. Then we have  $n_{\pm}(M_{k,\min}) = n_{\pm}(M_{k,\min}^{(0)}) = 2$ , thus  $\dim \mathcal{E}_k = 4$  by (i) of Proposition 2.3. Moreover we have  $D(M_{k,\min}) = D(M_{k,\min}^{(0)})$ , so the functions  $\{f_k^j\}$  ( $j = 1, 2, 4, 5$ ) do not belong to  $D(M_{k,\min})$ . And we can prove  $\mathcal{M}_k f_k^j \in L^2(\mathbb{R}^2)$  by using (14) and the fact  $|A^{(1)}| = O(r)$  near the origin. Thus  $\{\{f_k^j\}\}$  form a basis of  $\mathcal{E}_k$ . For the form  $[\cdot, \cdot]_{\mathcal{E}_k}$ , we can prove the formula

$$\begin{aligned} & [u, v]_{\mathcal{E}_k} = \\ & \lim_{\epsilon \rightarrow 0} \int_{r=\epsilon} (v\overline{\partial_r u} - \overline{v}\partial_r v - 2i(n \cdot A^{(1)})\overline{v}v) r \, d\theta \end{aligned}$$

in a similar way as in (13). Thus the value  $[f_k^m, f_k^n]_{\mathcal{E}_k}$  is not affected by  $A^{(1)}$ , since  $|A^{(1)}| = O(r)$  and  $|f_k^m f_k^n|$  is at most  $O(r^{-\max(2\beta_k, 2(1-\beta_k))})$ .  $\square$

Next we shall consider the non-flat case. We shall show that metric  $g$  also does not affect the structure of  $\mathcal{D}_k$  and the corresponding form.

**Proposition 3.5** *All the statements of Proposition 3.2 hold even if we replace  $M_{k,\min}^{(0)}$  by  $L_{k,\min}$  and  $\mathcal{E}_k^{(0)}$  by  $\mathcal{D}_k$ .*

Since  $V$  is bounded, we can assume  $V = 0$ . In the sequel, we use the following notation:

$$\mathcal{L} = G^{-1/2}(D + A) \cdot G^{1/2}g^{-1}(D + A),$$

where  $D$  is the column vector  ${}^t(D_1, D_2)$ ,  $D_j = -i\partial_j$ ,  $A$  is identified with the component vector  ${}^t(A_1, A_2)$ , and  $g^{-1}$  is the inverse matrix of  $g = (g_{mn})$ .

We shall prepare some elliptic a priori estimate.

**Lemma 3.6** *Let  $m, n \in \{1, 2\}$ . Then, there exist  $C_m > 0$  and  $C_{mn} > 0$  such that*

$$\begin{aligned} & \|(D_m + A_m)u\| \leq C_m (\epsilon \|\mathcal{M}_k u\| + \epsilon^{-1} \|u\|), \\ & \|(D_m + A_m)(D_n + A_n)u\| \leq C_{mn} (\|\mathcal{M}_k u\| + \|u\|) \end{aligned} \quad (15)$$

for every  $u \in C_0^\infty(\mathbb{R}^2 \setminus \{0\})$  and every  $\epsilon > 0$ , where  $\|\cdot\| = \|\cdot\|_{L^2(\mathbb{R}^2; dx^1 dx^2)}$ .

The difficulty is the singularity of our vector potential  $A$  at the origin. We can overcome this difficulty by using some commutator technique.

*Proof of Lemma 3.6* Put  $\Pi_j = D_j + A_j$  ( $j = 1, 2$ ). Then, since

$$\begin{aligned} \|\Pi_j u\|^2 &= (\epsilon^{1/2} \Pi_j^2 u, \epsilon^{-1/2} u) \leq \\ & \frac{1}{2} (\epsilon \|\Pi_j^2 u\|^2 + \epsilon^{-1} \|u\|^2) \end{aligned}$$

for  $u \in C_0^\infty(\mathbb{R}^2)$ , it suffices to prove (15).

Define auxiliary operators

$$\mathcal{A} = i\Pi_1 + \Pi_2, \quad \mathcal{A}^\dagger = -i\Pi_1 + \Pi_2.$$

Let  $[X, Y] = XY - YX$  be the commutator of operators  $X$  and  $Y$ . Then we have

$$[\Pi_1, \Pi_2] = [D_1, A_2] - [D_2, A_1] = -i(b + 2\pi\beta_k\delta_0),$$

where  $b = \partial_1 A_2^{(1)} - \partial_2 A_1^{(1)}$  is the magnetic field corresponding to  $A^{(1)}$ . Thus we have

$$[\mathcal{A}, \mathcal{A}^\dagger] = 2i[\Pi_1, \Pi_2] = 2(b + 2\pi\beta_k\delta_0).$$

Particularly for  $u \in C_0^\infty(\mathbb{R}^2 \setminus \{0\})$ , we have

$$(\mathcal{A}\mathcal{A}^\dagger - \mathcal{A}^\dagger\mathcal{A})u = 2bu.$$

Moreover, we have by definition

$$(\mathcal{A}\mathcal{A}^\dagger + \mathcal{A}^\dagger\mathcal{A})u = 2\mathcal{M}_k u.$$

These equalities imply

$$\mathcal{A}\mathcal{A}^\dagger = \mathcal{M}_k + b, \quad \mathcal{A}^\dagger\mathcal{A} = \mathcal{M}_k - b \quad (16)$$

on  $C_0^\infty(\mathbb{R}^2 \setminus \{0\})$ .

Since  $\Pi_m \Pi_n$  can be written as a finite linear combination of the operators of the form  $XY$ , where  $X, Y$  are  $\mathcal{A}$  or  $\mathcal{A}^\dagger$ , it suffices to show that there exists some constant  $C > 0$  such that

$$\|XYu\| \leq C(\|\mathcal{M}_k u\| + \|u\|) \quad (17)$$

for  $u \in C_0^\infty(\mathbb{R}^2 \setminus \{0\})$ . For  $(X, Y) = (\mathcal{A}, \mathcal{A}^\dagger), (\mathcal{A}^\dagger, \mathcal{A})$ , (17) follows from (16), since  $b$  is bounded. To estimate  $\|\mathcal{A}^2 u\|^2$ , we assume  $A^{(1)} \in C^\infty$  for a while. Then, we have by (16)

$$\begin{aligned} \|\mathcal{A}^2 u\|^2 &= (\mathcal{A}^2 u, \mathcal{A}^2 u) = ((\mathcal{A}^\dagger)^2 \mathcal{A}^2 u, u) = \\ &(\mathcal{A}^\dagger(\mathcal{A}\mathcal{A}^\dagger - 2b)\mathcal{A}u, u) = \\ &\|\mathcal{A}^\dagger \mathcal{A}u\|^2 - 2(b\mathcal{A}u, \mathcal{A}u) \leq \\ &\|\mathcal{A}^\dagger \mathcal{A}u\|^2 + 2\|b\|_\infty \|\mathcal{A}u\|^2 \leq \\ &\|\mathcal{A}^\dagger \mathcal{A}u\|^2 + 2\|b\|_\infty \|\mathcal{A}^\dagger \mathcal{A}u\| \|u\|. \end{aligned}$$

When  $A^{(1)} \in C^1$ , we approximate  $A^{(1)}$  by  $C^\infty$ -potentials w.r.t.  $C^1$ -norm on some neighborhood of  $\text{supp } u$ , then we get the above inequality again. Then, we have (17) by using (16). The case  $X = Y = \mathcal{A}^\dagger$  can be treated similarly.  $\square$

*Proof of Proposition 3.5* First, by assumption (vi), we have

$$\begin{aligned} g^{-1} &= I + \hat{g}, \quad \max |\hat{g}_{mn}| = O(r^2), \quad (18) \\ G &= 1 + O(r^2), \quad |DG| = O(r), \end{aligned}$$

as  $r \rightarrow 0$ .

Define a unitary operator  $U$  from  $L^2(\mathbb{R}^2; \sqrt{G}dx^1 dx^2)$  to  $L^2(\mathbb{R}^2; dx^1 dx^2)$  by

$$Uu = G^{1/4}u.$$

Put  $\tilde{\mathcal{L}}_k = U\mathcal{L}_k U^{-1}$ ,  $\tilde{L}_{k,\min} = UL_{k,\min}U^{-1}$ , etc. Then we have for  $v \in C_0^\infty(\mathbb{R}^2 \setminus \{0\})$

$$\tilde{L}_{k,\min}v = G^{-1/4}(D + A) \cdot \sqrt{G}g^{-1}(D + A)G^{-1/4}v.$$

Thus we have

$$\begin{aligned} \tilde{L}_{k,\min} &= G^{-1/4}(D + A) \cdot G^{1/4}g^{-1}(D + A) + \\ &G^{-1/4}(D + A) \cdot \sqrt{G}g^{-1}(DG^{-1/4}). \quad (19) \end{aligned}$$

The second term of (19) is written as

$$\begin{aligned} G^{-1/4} \left( D \cdot (\sqrt{G}g^{-1}(DG^{-1/4})) \right) + \quad (20) \\ (DG^{-1/4}) \cdot G^{1/4}g^{-1}(D + A). \end{aligned}$$

The first term of (20) is bounded, and the second is infinitesimally small w.r.t.  $M_{k,\min}$ , by Lemma 3.6.

The first term of (19) is written as

$$\begin{aligned} (D + A) \cdot g^{-1}(D + A) + \quad (21) \\ G^{-1/4}(DG^{1/4}) \cdot g^{-1}(D + A). \end{aligned}$$

The second term of (21) is also infinitesimally small w.r.t.  $M_{k,\min}$ , by Lemma 3.6. The first term of (21) is written as

$$M_{k,\min} + (D + A) \cdot \hat{g}(D + A).$$

The second term of this expression is written as

$$\begin{aligned} \sum_{m,n=1,2} (D_m \hat{g}_{mn})(D_n + A_n) + \quad (22) \\ \sum_{m,n=1,2} \hat{g}_{mn}(D_m + A_m)(D_n + A_n). \end{aligned}$$

The first sum of (22) is infinitesimally small w.r.t.  $M_{k,\min}$ . If we take  $\epsilon_k$  sufficiently small, the second sum is  $M_{k,\min}$ -bounded with relative bound less than 1, by Lemma 3.6. Now we can apply Lemma 3.4, and conclude that  $D(\tilde{L}_{k,\min}) = D(M_{k,\min}) = D(M_{k,\min}^{(0)})$ , and  $n_\pm(L_{k,\min}) = n_\pm(\tilde{L}_{k,\min}) = n_\pm(M_{k,\min}) = n_\pm(M_{k,\min}^{(0)})$ . Moreover, one can show that multiplication by  $G^{1/4}$  is a bijective continuous map on  $D(M_{k,\min}^{(0)})$ . Thus we have

$$\begin{aligned} D(L_{k,\min}) &= U^{-1}D(\tilde{L}_{k,\min}) = \\ G^{-1/4}D(M_{k,\min}^{(0)}) &= D(M_{k,\min}^{(0)}). \end{aligned}$$

And then we can prove  $\mathcal{L}_k f_k^m \in L^2(\mathbb{R}^2; d\mu_k)$  by the Leibniz formula and (18), and thus  $\{f_k^m\}_m$  form a basis of  $\mathcal{D}_k$ .



In a similar way as in (13), we have

$$[u, v]_{\mathcal{D}_k} = \lim_{\epsilon \rightarrow 0} \int_{r=\epsilon} \left( vn \cdot \sqrt{G}g^{-1}(\nabla + iA)u - \bar{u}n \cdot \sqrt{G}g^{-1}(\nabla + iA)v \right) r d\theta.$$

Since  $\sqrt{G}g^{-1} = I + O(r^2)$ , we can replace  $\sqrt{G}g^{-1}$  by  $I$  in the calculation of  $[f_k^m, f_k^n]_{\mathcal{D}_k}$ , and we have  $[f_k^m, f_k^n]_{\mathcal{D}_k} = [f_k^m, f_k^n]_{\mathcal{E}_k}$ . Thus we have the conclusion.  $\square$

### 4 Proof of main theorems

*Proof of Theorem 1.1* Since  $H_{\min}$  is semi-bounded, we have  $n_+(H_{\min}) = n_-(H_{\min}) = \dim \mathcal{D}/2$ . By Lemma 3.1 and Proposition 3.5, we have for  $K < \infty$

$$\dim \mathcal{D} = \sum_{k=1}^K \dim \mathcal{D}_k = 4K_1 + 2K_2,$$

and for  $K = \infty$

$$\dim \mathcal{D} \geq \sum_{k=1}^{\infty} \dim \mathcal{D}_k = \infty.$$

Thus we have the conclusion.  $\square$

*Proof of Theorem 1.2* By Lemma 3.1 and Proposition 3.5, we have for  $u, v \in D(H_{\max})$

$$[u, v]_{\mathcal{D}} = 4\pi\Phi(u)^* \begin{pmatrix} O & -D \\ D & O \end{pmatrix} \Phi(v),$$

where  $\Phi(u)^*$  is the row-vector  ${}^t\overline{\Phi(u)}$  and  $D$  is the matrix given by (6). Let  $X = {}^t(X_1, X_2)$  be the matrix satisfying (7). Then we have

$$X^* \begin{pmatrix} O & -D \\ D & O \end{pmatrix} X = O,$$

which implies  $V \subset V^{\perp}$  for  $V = \text{Ran } X$ . Moreover, if  $\text{rank } X = 2K_1 + K_2$ , we have

$$\dim V^{\perp} = 4K_1 + 2K_2 - \dim V = 2K_1 + K_2 = \dim V.$$

Thus we have (11), and therefore  $H_X$  is self-adjoint. Conversely, for a given self-adjoint extension  $H$  of  $H_{\min}$ , we can construct a  $(4K_1 + 2K_2) \times (2K_1 + K_2)$ -matrix  $X$  by arranging the coefficients of an arbitrary basis of  $V = PD(H)$  with respect to the basis  $\{[\psi_k f_k^j]\}$ .  $\square$

### 5 Infinite singularities

Let us consider the case  $K = \infty$ , and extend Theorem 1.2. Even in this case, for  $u \in D(H_{\max})$  and for each  $k$ , we can define the asymptotic coefficients  $c_j^k$  at  $\gamma_k$ . However, the sequence  $\Phi_j(u)$  is an infinite sequence. We shall find appropriate assumptions which make these infinite sequences square summable.

In the sequel,  $U_k, \beta_k, g_{mn}$  are those introduced in section 1. However, we may replace  $\psi_k$  defined by (3) more appropriate one satisfying (4), if such one exists. For simplicity, we assume  $V = 0$ .

- (U) (i) There exists  $\epsilon_0 > 0$ , independent of  $k$ , such that  $U_k = \{r < \epsilon_0\}$  for every  $k$ .
- (ii) There exist  $\beta_-, \beta_+$  such that  $0 < \beta_- \leq \beta_k \leq \beta_+ < 1$  or  $\beta_k = 0$ , for every  $k$ .
- (iii) There exists  $C_1 > 0$  independent of  $k$  such that  $g_{mn}$  satisfies (2) and

$$|\partial_i \partial_j g_{mn}| \leq C_1$$

in  $U_k$ , for every  $i, j, m, n = 1, 2$ .

- (iv) There exists  $C_2 > 0$  independent of  $k$ , and phase functions  $\psi_k \in C^\infty(U_k \setminus \{0\})$  satisfying  $|\psi_k| = 1$ , (4) and

$$|\partial_j A_m^{(1)}| \leq C_2$$

in  $U_k$ , for  $j, m = 1, 2$ .

Thus we assume some homogeneity for  $g, A^{(0)}$ , and  $A^{(1)}$ . Since the open sets  $\{U_k\}_{k=1}^\infty$  are required to be disjoint, assumption (i) says the points of  $\Gamma$  are uniformly separated in some sense. Assumption (ii) seems a little strange, but we need this assumption if we want to make the boundary value  $\Phi(u)$  square summable.<sup>7</sup> Assumption (iii) binds the curvature of  $M$ , and (iv) the intensity of the magnetic field. In [12], the author considers a similar assumption when  $M$  is the flat Euclidean plane and  $dA^{(1)}$  is a constant magnetic field.

In the sequel, we use the notation

$$\mathbb{C}^\infty = l^2 = \{(c_j)_{j=1}^\infty \mid \sum_{j=1}^\infty |c_j|^2 < \infty\},$$

and define its inner product by usual  $l^2$ -inner product. Let

$$\mathcal{H} = \mathbb{C}^{K_1} \oplus \mathbb{C}^{K_1} \oplus \mathbb{C}^{K_2}.$$

**Proposition 5.1** *Assume (A), (A0), (A1), (SB), (U),  $V = 0$ , and  $K = \infty$ . Then, the following linear map*

<sup>7</sup>If we consider another type of characterization, assumption (ii) may be dropped.

$$\mathcal{D} \ni [u] \mapsto \Phi(u) \in \mathcal{H} \oplus \mathcal{H}, \tag{23}$$

is a well-defined homeomorphism. Moreover,

$$[u, v]_{\mathcal{D}} = 4\pi(\Phi(u), \tilde{D}\Phi(v)), \tag{24}$$

$$\tilde{D} = \begin{pmatrix} O & -D \\ D & O \end{pmatrix},$$

where  $\mathcal{D}$  is a bounded operator on  $\mathcal{H}$  defined by (6).

Once this proposition is established, our theorem can be proved similarly as in the proof of Theorem 1.2. So we omit the proof.

**Theorem 5.2** *Assume the same conditions as in Proposition 5.1. Then, the statements of Theorem 1.2 hold with the following changes:  $X_1, X_2$  are bounded operators on  $\mathcal{H}$ , and condition (7) is replaced by the condition*

$$\text{Ran } X = \text{Ker } X^* \tilde{D},$$

where  $\tilde{D}$  is the bounded operator on  $\mathcal{H} \oplus \mathcal{H}$  defined in Proposition 5.1.

We conclude this paper by proving Proposition 5.1.

*Proof of Proposition 5.1.* We divide the proof into two steps.

**Step 1.** The map

$$\mathcal{D} \ni [f] \mapsto \bigoplus_{k=1}^{\infty} T_k[f] \in \bigoplus_{k=1}^{\infty} \mathcal{D}_k$$

is continuous, bijective and its inverse is also continuous.

**Proof.** By our assumption (U) and the calculation in section 3, we can prove there exists  $C > 0$  independent of  $k$  such that

$$\|\psi_k^{-1} \chi_k f\|_{L_{k,\max}}^2 \leq C \int_{U_k} (|\mathcal{L}f|^2 + |f|^2) d\mu_k.$$

Summing up these equalities with respect to  $k$ , we conclude the map

$$D(H_{\max}) \ni f \mapsto \bigoplus_{k=1}^{\infty} \psi_k^{-1} \chi_k f \in \bigoplus_{k=1}^{\infty} D(L_{k,\max})$$

is continuous. Then the well-definedness of the map (23) can be proved similarly as in section 3. Since  $\mathcal{D}$  is identified with the closed subspace  $D(H_{\min})^{\perp}$  of  $D(H_{\max})$  and the projection from  $D(L_{k,\max})$  to  $\mathcal{D}_k$  is continuous, we conclude the map (23) is continuous. Moreover, we can prove the inverse map is also well-defined and continuous, so we have the conclusion.  $\square$

**Step 2.** There exists  $C > 1$  independent of  $k$  such that

$$C^{-1}|c^k| \leq \|[u]\|_{\mathcal{D}_k} \leq C|c^k|$$

for every  $[u] \in \mathcal{D}_k$ , where  $c^k = (c_1^k, c_2^k, c_4^k, c_5^k)$  for  $0 < \beta_k < 1$ ,  $c^k = (c_3^k, c_6^k)$  for  $\beta_k = 0$ , and  $c_j^k$  are asymptotic coefficients of  $u$  defined in section 1.

**Proof.** We only consider the case  $0 < \beta_k < 1$ . Consider the following formula for  $c_1^k$

$$c_1^k = \frac{1}{4\pi(1-\beta_k)} [f_k^4, u]_{\mathcal{D}_k},$$

which can be verified by substituting all the basis functions into  $u$ . By choosing the representative  $u \in D(L_{k,\min})^{\perp}$  (so  $\|u\|_{L_{k,\max}} = \|[u]\|_{\mathcal{D}_k}$ ) and using the Schwarz inequality, we have

$$|c_1^k| \leq \frac{1}{2\pi(1-\beta_k)} \|f_k^4\|_{L_{k,\max}} \|[u]\|_{\mathcal{D}_k}.$$

The fraction is bounded uniformly w.r.t.  $k$ , by our assumption (ii) of (U). Moreover, we can prove  $\|f_k^j\|_{L_{k,\max}}$  is also uniformly bounded, by (U) and the calculations in section 3 (first decompose  $\mathcal{L}_k$  as in section 3, and estimate all terms). Thus we have

$$|c_j^k| \leq C \|[u]\|_{\mathcal{D}_k}.$$

for  $j = 1$ . The case  $j = 2, 4, 5$  can be treated similarly.

Conversely,

$$\sum_{j=1,2,4,5} \|c_j^k [f_k^j]\|_{\mathcal{D}_k} \leq |c^k| \left( \sum_{j=1,2,4,5} \|f_k^j\|_{L_{k,\max}}^2 \right)^{1/2},$$

and the sum in the right hand side is uniformly bounded. Thus the conclusion holds.  $\square$

By Step 1 and 2, we have proved the map (23) is well-defined and homeomorphism. Equation (24) is confirmed by substituting each  $f_k^j$  as  $u$  or  $v$ .  $\square$

### Acknowledgement

This work was partially supported by Doppler Institute for mathematical physics and applied mathematics, KIT Faculty Research Abroad Fellowship Program, and JSPS grant Wakate 20740093.

### References

- [1] Adami, R., Teta, A.: On the Aharonov-Bohm Hamiltonian, *Lett. Math. Phys.* **43** (1998), 43–54.
- [2] Aharonov, Y., Bohm, D.: Significance of electromagnetic potentials in the quantum theory, *Phys. Rev.* **115** (1959), 485–491.

- [3] Albeverio, S., Gesztesy, F., Høegh-Krohn, R., Holden, H.: *Solvable models in quantum mechanics. Texts and Monographs in Physics.*, Springer-Verlag, New York, 1988.
- [4] Bulla, W., Gesztesy, F.: Deficiency indices and singular boundary conditions in quantum mechanics, *J. Math. Phys.* **26** No. 10 (1985), 2520–2528.
- [5] Correa, F., Falomir, H., Jakubsky, V., Plyushchay, M. S.: Hidden superconformal symmetry of spinless Aharonov-Bohm system preprint, URL: <http://arxiv.org/abs/0906.4055>
- [6] Correa, F., Falomir, H., Jakubsky, V., Plyushchay, M. S.: Supersymmetries of the spin-1/2 particle in the field of magnetic vortex, and anyons, preprint, URL: <http://arxiv.org/abs/1003.1434>
- [7] Dabrowski, L., Šťovíček, P.: Aharonov–Bohm effect with  $\delta$ -type interaction, *J. Math. Phys.* **39**, No. 1 (1998), 47–62.
- [8] Exner, P., Šťovíček, P., Vytřas, P.: Generalized boundary conditions for the Aharonov-Bohm effect combined with a homogeneous magnetic field, *J. Math. Phys.* **43**, No. 5 (2002), 2151–2167.
- [9] Iwai, T., Yabu, Y.: Aharonov-Bohm quantum systems on a punctured 2-torus, *J. Phys. A: Math. Gen.* **39** (2006) 739–777.
- [10] Kato, T.: *Perturbation theory for linear operators.* Springer, 1966.
- [11] Lisovyy, O.: Aharonov-Bohm effect on the Poincaré disk, *J. Math. Phys.* **48** (2007), no. 5, 052112.
- [12] Mine, T.: The Aharonov-Bohm solenoids in a constant magnetic field. *Ann. Henri Poincaré* **6** (2005), no. 1, 125–154.
- [13] Reed, M., Simon, B.: *Methods of modern mathematical physics. II. Fourier analysis, self-adjointness*, Academic Press, New York-London, 1975.
- [14] Shubin, M.: Essential Self-adjointness for Semi-bounded Magnetic Schrödinger Operators on Non-compact Manifolds, *J. Funct. Anal.* **186** (2001), 92–116.

Dr. Takuya Mine  
 E-mail: [mine@kit.ac.jp](mailto:mine@kit.ac.jp)  
 Kyoto Institute of Technology  
 Matsugasaki, Sakyo-ku  
 Kyoto 606-8585, Japan